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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 042390.P7957

Total Pages 2

jc936 U.S. PTO  
09/29/00

First Named Inventor or Application Identifier Keith Shippy

Express Mail Label No. EL431686620US

ADDRESS TO: **Assistant Commissioner for Patents**  
**Box Patent Application**  
**Washington, D. C. 20231**

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2.  Specification (Total Pages 47)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3.  Drawings(s) (35 USC 113) (Total Sheets 19)
4.  Oath or Declaration (Total Pages 5)
  - a.  Newly Executed (Original or Copy)
  - b.  Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) **(Note Box 5 below)**
  - i.  DELETIONS OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.  Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.  Microfiche Computer Program (Appendix)
7.  Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
  - a.  Computer Readable Copy

b.  Paper Copy (identical to computer copy)  
c.  Statement verifying identity of above copies

#### ACCOMPANYING APPLICATION PARTS

8.  Assignment Papers (cover sheet & documents(s))  
9.  a. 37 CFR 3.73(b) Statement (where there is an assignee)  
     b. Power of Attorney  
10.  English Translation Document (if applicable)  
11.  a. Information Disclosure Statement (IDS)/PTO-1449  
     b. Copies of IDS Citations  
12.  Preliminary Amendment  
13.  Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
14.  a. Small Entity Statement(s)  
     b. Statement filed in prior application, Status still proper and desired  
15.  Certified Copy of Priority Document(s) (if foreign priority is claimed)  
16.  Other: separate sheet with title, express mail label, copy of postcard and attorney's signature  
\_\_\_\_\_

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

Continuation  Divisional  Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_

18. **Correspondence Address**

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## FEE TRANSMITTAL FOR FY 2000

TOTAL AMOUNT OF PAYMENT (\$) \$1104.00

## Complete if Known:

Application No. not yet assignedFiling Date herewithFirst Named Inventor Group Art Unit Examiner Name Attorney Docket No. 042390.P7957

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
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Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

Check  
 Money Order  
 Other

## FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee	Fee	Fee	
Code	Fee	Code	
101	690	201	Utility application filing fee
106	310	206	Design application filing fee
107	480	207	Plant filing fee
108	690	208	Reissue filing fee
114	150	214	Provisional application filing fee

SUBTOTAL (1) \$ 690.002. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	<u>30</u>	<u>- 20** = 10</u>	<u>X 18</u>	<u>= 180.00</u>
Independent Claims	<u>6</u>	<u>- 3** = 3</u>	<u>X 78</u>	<u>= 234.00</u>
Multiple Dependent				<u>=</u>

\*\*Or number previously paid, if greater; For Reissues, see below.

## Large Entity Small Entity

Fee	Fee	Fee	Fee	Fee Description
Code	Fee	Code	Fee	
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$ 414.00

## FEE CALCULATION (continued)

12/29/99

- 1 -

PTO/SB/17 (6/99)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.

See Forms PTO/SB/09-12

3. ADDITIONAL FEES

Large Entity Fee Code	Fee (\$)	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	380	216	190	Extension for response within second month	_____
117	870	217	435	Extension for response within third month	_____
118	1,360	218	680	Extension for response within fourth month	_____
128	1,850	228	925	Extension for response within fifth month	_____
119	300	219	150	Notice of Appeal	_____
120	300	220	150	Filing a brief in support of an appeal	_____
121	260	221	130	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application	_____
141	1,210	241	605	Petition to revive unintentionally abandoned application	_____
142	1,210	242	605	Utility issue fee (or reissue)	_____
143	430	243	215	Design issue fee	_____
144	580	244	290	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	240	126	240	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	760	246	380	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	760	249	380	For each additional invention to be examined (see 37 CFR 1.129(a))	_____

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

**SUBTOTAL (3) \$ 0.00**

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Kenneth B. Paley

Signature Kenneth B. Paley Date September 29, 2000

Reg. Number 38,989 Deposit Account User ID \_\_\_\_\_  
(complete if applicable)

APPLICATION FOR UNITED STATES LETTERS OF PATENTS

FOR

**SYSTEM AND METHOD FOR SAFEGUARDING DATA BETWEEN A  
DEVICE DRIVER AND A DEVICE**

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Box Patent Application, Washington D.C. 20231

Sharon Farnus 9-29-00  
Sharon E. Farnus Date

## SYSTEM AND METHOD FOR SAFEGUARDING DATA BETWEEN A DEVICE DRIVER AND A DEVICE

### BACKGROUND OF THE INVENTION

5        Field of the Invention

The present invention relates to data encryption. More specifically, the present invention relates to safeguarding the transfer of data within a device.

Background Information

With the proliferation of computers and networks, the amount and availability  
10      of digitized data available for viewing and listening has grown. However, with this  
growth in the amount and availability of information, content providers have desired  
greater protection of the data from unauthorized use.

In order to protect data from unauthorized use, conventional data protection  
techniques, such as, for example, data encryption, have been used to protect data  
15      as it is being transferred over a network or between devices. Content providers use  
a number of well known encryption techniques to encrypt sensitive data before  
transmission from one device, such as, for example, a satellite receiving dish, to a  
second device, such as, for example, a computer or set-top box.

Different conventional types of encryption techniques are used depending  
20      upon the source device of the data and the type of data bus being used for the  
transmission from one device to another. For example, data transmitted from a  
Digital Video Disk (DVD) player to a computer uses Content Scrambling System  
(CSS) encryption, and data transmitted over an IEEE 1394 bus use Digital  
Transmission Content Protection (DTCP). Data transmitted over other bus systems

use a number of other encryption techniques. In order to decrypt the data as it is received, devices need to be able to decrypt data using the variety of techniques that are used to encrypt the data. Thus, a device that receives both CSS and DTCP encrypted data needs to know the techniques for decrypting both types of encrypted  
5 data.

The various encryption techniques employed only protect the data during transmission. Once the data is received, it must be decrypted in order for the receiving device to be able to process the data. Once the data is decrypted within the receiving device, the data is susceptible to unauthorized access and  
10 manipulation.

Moreover, these conventional systems do not protect the data inside an open architecture device, such as a personal computer. Conventional systems do not control what applications access the incoming data-stream, nor allow those applications to access the incoming data stream without being aware of the data  
15 originator outside the device.

#### SUMMARY OF THE INVENTION

According to one aspect of the invention, a machine readable medium provides instructions which when executed by at least one processor, cause the  
20 processor to perform operations. The operations include encrypting a payload of a data-stream data block with at least one key before transmitting the data-stream from a first system to a second system, replacing a portion of the payload with a tag that identifies at least one decrypting key to the first system before transmitting the

data-stream from the first system to the second system, and setting a flag in a header of the data block that indicates that the payload has the tag before transmitting the data-stream from the first system to the second system.

5 **BRIEF DESCRIPTION OF THE DRAWINGS**

The present invention will be described by way of exemplary embodiments, but not limitations, illustrated in the accompanying drawings. Identical numerals indicate the same elements throughout the figures.

Figure 1 is one embodiment for a data safeguarding system block diagram;  
10 Figure 2 is one embodiment for an architecture of a data safeguarding system block diagram;

Figure 3 is another embodiment for an architecture of a data safeguarding system block diagram;

15 Figure 4 illustrates an exemplary architecture of a data safeguarding system, such as that shown in Figure 2;

Figure 5 is one embodiment for a protected content exchange (PCX) module of Figure 2 block diagram;

Figure 6a is one embodiment for an encrypted data stream block diagram;

Figure 6b is one embodiment for a PCX replacement block diagram;

20 Figure 7 is one embodiment for a shared buffer block diagram;

Figure 8 is one embodiment for a PCX resync block block diagram;

Figure 9 is a flow diagram of one embodiment for safeguarding protocol specific data within a device;

Figure 10 is a flow diagram of one embodiment for decrypting PCX encrypted data by a decoding device;

5 Figure 11 is a flow diagram of one embodiment for creating a PCX resync block;

Figure 12 is a flow diagram of one embodiment for decrypting a PCX resync block;

10 Figure 13 is one embodiment for an information synchronizing system block diagram.

Figure 14 is one embodiment of a system block diagram showing the functional connection between a PCX module and an application decoder for transferring a data-stream to a decoder application when they are separate physical devices.

15 Figure 15 is an exemplary computer system that is related to the use of the present invention, according to an embodiment.

Figure 16 is one embodiment of a system block diagram showing the functional connection between a PCX module and an application decoder when they access a shared memory device.

20 Figure 17 is one embodiment of a system block diagram of a shared memory device safeguarding system.

Figure 18 is a flow diagram of one embodiment for transferring a single data-stream and decryption keys to an application decoder.

## DETAILED DESCRIPTION

In the following description, various aspects and details of the present invention will be described. However, it will be apparent to those skilled in the art that the present invention may be practiced with only some or all aspects of the present invention. For purposes of explanation, specific numbers, materials and configurations are set forth in order to provide a thorough understanding of the present invention. However, it will also be apparent to one skilled in the art that the present invention may be practiced without the specific aspects and details. In other instances, well known features are omitted or simplified in order not to obscure the present invention.

Some portions of the descriptions that follow are presented in terms of algorithms and symbolic representations of operations on data bits within a computer memory. These algorithmic descriptions and representations are the means used by those skilled in the data processing arts to most effectively convey the substance of their work to others skilled in the art. An algorithm is here, and generally, conceived to be a self-consistent sequence of steps leading to a desired result. The steps are those requiring physical manipulations of physical quantities. Usually, though not necessarily, these quantities take the form of electrical or magnetic signals capable of being stored, transferred, combined, compared, and otherwise manipulated. It has proven convenient at times, principally for reasons of common usage, to refer to these signals as bits, values, elements, symbols, characters, terms, numbers, or the like.

It should be borne in mind, however, that all of these and similar terms are to be associated with the appropriate physical quantities and are merely convenient labels applied to these quantities. Unless specifically stated otherwise as apparent from the following discussion, it is appreciated that throughout the description, 5 discussions utilizing terms such as "processing" or "computing" or "calculating" or "determining" or "displaying" or the like, refer to the action and processes of a circuit that can include a programmed computer system, or similar electronic computing device. A computer system manipulates and transforms data represented as physical (electronic) quantities within the computer system's registers and memories 10 into other data similarly represented as physical quantities within the computer system memories or registers or other such information storage, transmission or display devices.

The present invention also relates to apparatus including circuits for performing the operations herein. This apparatus may be specially constructed for 15 the required purposes, or it may include a general purpose computer selectively activated or reconfigured by a computer program stored in the computer. Such a computer program may be stored in a computer readable storage medium. A machine readable medium includes any mechanism that provides (i.e. stores and/or transmits) information in a form readable by a machine such as a computer. For 20 example, a machine readable medium includes, and is not limited to, read only memory (ROM); random access memory (RAM); magnetic disk storage media; optical storage media; flash memory devices; electrical, optical, acoustical or other

form of propagated signals (such as carrier waves, infrared signals, digital signals, and so forth),, or any type of media suitable for storing electronic instructions.

The algorithms and displays presented herein are not inherently related to any particular computer or other apparatus. Various general purpose systems may 5 be used with programs in accordance with the teachings herein, or it may prove convenient to construct more specialized apparatus to perform the required method steps. The required structure for a variety of these systems will appear from the description below. In addition, the present invention is not described with reference to any particular programming language. It will be appreciated that a variety of 10 programming languages may be used to implement the teachings of the invention as described herein.

Various operations will be described as multiple discrete steps performed in turn in a manner that is most helpful in understanding the present invention, however, the order of description should not be construed as to imply that these 15 operations are necessarily order dependent, in particular, the order the steps are presented. Furthermore, the phrase "in one embodiment" will be used repeatedly, however the phrase does not necessarily refer to the same embodiment, although it may.

Figure 1 is a block diagram of one embodiment for a data safeguarding 20 system **100**. Data safeguarding system **100** includes data safeguarding device **104**, protocol specific input devices **110** and protocol specific buses **120**. Data safeguarding device **104** includes decoding devices **102**, and a protected content exchange (PCX) module whose preferred embodiment includes a memory **108**, and

a CPU **115** that executes programmed instructions stored in a memory **108**. PCX module **106** includes a number of protocol specific exchange modules **130**.

Protocol specific encrypted data is received over protocol specific bus **120** from protocol specific input devices **110**. In the Figure 1 example, encrypted data 5 may be received over a 1394 DTCP bus from a number of input devices **110** such as a satellite dish or video recorder (VCR). Any of a number of protocol specific buses **120** may be connected to data safeguarding device **104** including, for example, a USB bus, a PCI bus, and a DVD bus. Once the encrypted data is received by data safeguarding device **104**, CPU **115** directs the input to PCX 10 module **106**. Within PCX module **106**, the appropriate protocol specific exchange module **130** is used to decrypt the encrypted input data stream. For example, if IEEE 1394 DTCP bus encrypted data is received, a DTCP exchange module **130** would be used to decrypt the input data. Input data is received and is decrypted on a block-by-block basis.

15 Initially, PCX module **106** negotiates a content channel encryption key with protocol specific input device **110**. PCX module **106** then negotiates a PCX session key with the client decoding device **102**. Decoding device **102** is the client that, in one embodiment, originally requested the data from device **110**. Once the PCX session key is negotiated, PCX module **106** re-encrypts the payload of the protocol 20 specific data using a randomly generated PCX content key and transfers the re-encrypted data (including header and payload) to the appropriate decoding device **102**. Once decoding device **102** receives the re-encrypted data, decoding device

102 negotiates with the PCX module 106 to retrieve the PCX content key encrypted by the PCX session key. Once the appropriate PCX content is retrieved, decoding device 102 decrypts the payload data. Decoding device 102 then manipulates the unencrypted data. In one embodiment, decoding device 102 decodes the

5 unencrypted data. For example, if MPEG data is requested by an MPEG decoder, the appropriate input device 110 sends the data over the bus 120 to data safeguarding device 104. CPU 115 executes the PCX module 106 which decrypts the MPEG input data stream using a content channel encryption key for the bus 120. The MPEG decoder and PCX module 106 negotiate a PCX session key. The 10 payload MPEG data is re-encrypted with the randomly generated PCX content key and the re-encrypted data is sent to the MPEG decoder. PCX module 106 encrypts the PCX content key with the PCX session key. The MPEG decoder retrieves the encrypted PCX content key and decrypts the PCX content key with the PCX session key. In addition, the MPEG decoder uses the PCX content key to decrypt the 15 payload data for playback. The MPEG decoder then retrieves the device key and decrypts the payload data for playback.

In one embodiment, data within system 100 is further protected from tampering or from unauthorized access by the use of a number of anti-tampering techniques such as, for example, self-modification of PCX module 106 code, the 20 use of anti-debugging techniques, self-verification of PCX module 106 code, signature verification of PCX module 106 code, and other applicable anti-tampering techniques. The use of these anti-tampering techniques prevents unauthorized

access or modification of PCX module **106** code which prevents the unauthorized access or modification of the data as it is being transferred through system **100**.

Figure **2** is a block diagram of one embodiment for an architecture of a data safeguarding system **100**. Referring to Figure **2**, encrypted protocol specific data is received over IEEE 1394 bus **220** and transferred to IEEE 1394 bus driver **210**. Bus driver **210** then sends the protocol specific data to class driver **212**. PCX module **106** intercepts the protocol specific data and decrypts the data with a content channel encryption key. The content channel encryption key has originally been negotiated between PCX module **106** and protocol specific input device **110** before transmission. Once the data is decrypted, PCX module **106** re-encrypts only the MPEG portion of the payload of the data with a randomly generated PCX content key and encrypts the PCX content key with the appropriate PCX session key. This is repeated for the AC3 portion of the payload with a different randomly generated key and a different PCX session key. PCX module **106** sends the re-encrypted data back to class driver **212**. The re-encrypted data is transferred to a splitter **232** which splits the data between the various decoding devices. In the figure **2** example, the splitter **232** splits the IEEE 1394 re-encrypted data to AC3 device **216** and MPEG device **218**. MPEG decoder **218** and AC3 decoder **216** receive the appropriate encrypted PCX content key. MPEG decoder **218** and AC3 decoder **216** decrypt their PCX content key with their PCX session key. MPEG device **218** and AC3 device **216** then decrypt the re-encrypted data for playback using the appropriate PCX content key.

Thus, the data is protected from unwarranted hacking or copying within data safeguarding system **100**. Within data safeguarding system **100**, the transmission headers of the data are left decrypted while the payload of the data is re-encrypted by PCX module **106**. Thus, the payload of the data is protected from unwarranted copying or hacking during transfer within system **100** while allowing untrusted components to access the portions of the data stream they need.

5

Figure 3 is a block diagram of another embodiment of an architecture of a data safeguarding system **100**. Referring to **Figure 3**, protocol specific input device **110** initially negotiates a content channel encryption key with protocol specific registration engine **326**. Protocol specific input device **110** transmits the encrypted protocol specific data via protocol specific bus **120** to bus driver **312**. Bus driver **312** transfers the encrypted protocol specific data to device specific mini port driver **316** via protocol specific class driver **314**. Protocol specific bus abstractor **320** abstracts the encrypted protocol specific data from device specific mini port driver **316**. The 10 extracted encrypted data is transferred to PCX module **106**. Within PCX module **106**, the encrypted protocol specific data is decrypted using protocol specific decryptor **322**. Protocol specific decryptor **322** decrypts the protocol specific data one block at a time. Each block of data contains a transmission header portion and a payload. In one embodiment, both the transmission header and payload portions 15 are encrypted during transmission from source device **110** to data safeguarding system **100**. In an alternate embodiment, only the payload may be encrypted.

20

Depending on the specific data bus transmission protocol being used, protocol specific decryptor **322** decrypts either the entire data block or the payload only.

Each data bus transmission protocol requires a corresponding protocol specific decryptor **322**. PCX negotiator **328** negotiates a PCX session key with the 5 decoding device **102** that is the intended recipient of the protocol specific data. Once a session key is negotiated, protected content exchange (PCX) encryptor **324** re-encrypts the payload portion of the data with a randomly generated PCX content key to produce re-encrypted data. PCX encryptor **324** transfers the re-encrypted data to protocol specific bus abstractor **320** which, in turn, transfers the re-encrypted 10 data to device specific mini port driver **316**. Device specific mini port driver **316** sends the PCX re-encrypted data to the upstream drivers and libraries **330** which in turn transfers the PCX re-encrypted data to splitter **232**.

Splitter **232** reads the transmission header of each re-encrypted data block and transfers the data block to the decoding device **102** corresponding to the 15 information contained within the transmission header. In addition, in one embodiment, splitter **232** removes the transmission headers from the data block. Within the data, data blocks are intermingled so that a variety of data blocks are received by splitter **232**. Thus, a video block may be received, then an audio block, then another video block, and so forth. The splitter transfers the payload sections of 20 the blocks to the corresponding decoding device as indicated by the transmission header. Once the re-encrypted payload data is received by a decoding device **102**, decoding device **102** retrieves the encrypted PCX content key from PCX negotiator

**328.** Decoding device **102** decrypts the content key using its PCX session key which was originally negotiated with PCX negotiator **328**. The unencrypted data is then consumed by decoding device **102**.

Figure 4 illustrates an exemplary architecture of safeguarding system 100.

5 Referring to figure 4, protocol specific input device **110**, such as a VCR, negotiates with a playback device such as MPEG decoder **435** to transmit a stream of encrypted data to MPEG decoder **435**. Protocol specific input device **110** initiates the transmission of a stream of encrypted protocol specific data marked with the appropriate copy protection status (i.e., "copy-1-generation," "copy-never," or "no-more-copies"). The copy protection status is transmitted via the encryption mode indicator (EMI) bits within the transmission header of the data. If data requested by decoding device **102** (such as an MPEG decoder **435**) is copy protected, protocol specific input device **110** may choose to transmit an empty data stream until at least one decoding device **102** has completed the appropriate authentication procedure

10 15 required to access the content stream. Within data safeguarding system **100**, protocol specific input device **110** negotiates authentication through PCX negotiator **328** and not directly with protocol specific input device **110**. In the figure 4 example, VCR **110** negotiates authentication with DTCP registration engine **426**. Once protocol specific input device (VCR) **110** and DTCP registration engine **426** have

20 completed the required AKE procedure, a content channel encryption key may be exchanged between protocol specific input device **110** and DTCP registration engine **426**. This content channel encryption key is used to encrypt the data by

protocol specific input device **110** and decrypt the IEEE 1394 encrypted data by DTCP decryptor **422**.

Once the content channel encryption key is negotiated, IEEE 1394 encrypted data is transferred from protocol specific input device **110** via IEEE 1394 bus driver **210**, to class driver **212** and eventually to device specific mini port driver **416**. DTCP bus abstractor **420** abstracts the IEEE 1394 encrypted data from device specific mini port driver **416** and transfers the IEEE 1394 encrypted data to PCX module **106**. The IEEE 1394 encrypted data is decrypted by DTCP decryptor **422** one block at a time using the content channel encryption key previously negotiated by DTCP registration engine **426**. In the IEEE 1394 example, both the transmission headers and the payload are encrypted by protocol specific input device **110**. Thus, DTCP decryptor **422** decrypts both the transmission header and payload portions of the IEEE 1394 encrypted data block.

If video decoder **438** has not previously registered with PCX module **106**, PCX negotiator **428** authenticates video decoder **438**. During authentication, video decoder **438** is registered with PCX negotiator **428** and video decoder **438** negotiates a key exchange with PCX negotiator **428**. The key exchange method between video decoder **438** and PCX negotiator **428** is similar to the key exchange method between decoding device **110** and DTCP registration engine **426** described above. Once a session key is negotiated between video decoder **438** and PCX negotiator **428**, PCX encryptor **424** encrypts the payload of the data blocks using a randomly generated PCX content key. The re-encrypted IEEE 1394 data blocks are

transferred to DTCP bus abstracter **420** for transfer to device specific mini port driver **416**. The re-encrypted IEEE 1394 data is transferred via WDM stream class driver **430** and WDM streaming library **432** to source filter **434**. At source filter **434**, re-encrypted IEEE 1394 data intended for MPEG decoder **435** is split off from the other IEEE 1394 data and transferred to MPEG decoder **435**. The re-encrypted IEEE 1394 data is muxed as MPEG transport stream (TS) to MPEG TS splitter **436**. MPEG TS splitter **436** splits the video and audio portions of the MPEG TS and removes the transmission headers. The video portion of the TS is transferred to video decoder **438**. Video decoder **438** requests the PCX content key from PCX negotiator **428**. PCX negotiator **428** encrypts the PCX content key with the appropriate PCX session key and transfers it to video decoder **438**. Video decoder **438** decrypts the PCX content key using the previously negotiated PCX session key and used the content key to decrypt the video data. In addition, the video decoder **438** consumes the data. In a similar manner, audio decoder **440** receives the audio TS and decodes the audio TS with a device key retrieved from PCX negotiator **428**.

In standard MPEG video, the audio and video blocks are interwoven together within the input data stream. In order to separate the data, the MPEG splitter **436** reads the transport stream headers. Within data safeguarding system **100**, MPEG decoder **435** only needs to use the PCX specific protocols in order to interact with PCX negotiator **428** and does not need to be able to use each individual data bus transmission protocol. PCX module **106** is able to translate the encrypted protocol specific data from any specific bus into PCX encrypted data that the MPEG decoder

435 is able to understand and decode. Thus, the re-encryption of the protocol specific data by PCX module 106 is independent of any specific bus protocol used by system 100. Decoding devices 102 are independent of the command protocol of the specific bus. The bus abstractor 420 abstracts the DTCP status structure,

- 5     encapsulates the status structure in the proper command protocol, and transmits the encapsulated protocols to the driver 416 and vice versa. In this manner, decoding devices 102 are capable of receiving encrypted data from any protocol specific bus 120 without negotiating the content channel encryption key with the input devices 110 or knowing the encryption protocol for the specific buses 120. As existing bus 10 protocols change and new bus protocols are developed, PCX module 106 may be updated. However, decoding devices 102 only need to be able to talk with PCX module 106 and only need to be updated when the PCX module 106 negotiation protocols are updated.

PCX module 106 may be implemented in software or hardware. The PCX module 106 may be incorporated within RAM memory of a personal computer or may be contained within flash memory which is attached to a CPU or other data processing device. Thus, PCX module 106 is easily updated independent of decoding devices 102.

Figure 5 is a block diagram of one embodiment for a protected content exchange (PCX module 106). Referring to Figure 5, PCX module 106 contains protocol specific decryption modules 500, PCX encryption modules 510, protocol specific registration modules 520, and PCX negotiation modules 530. A protocol

specific decryption module **500** may be maintained for each protocol specific bus connected to data safeguarding system **100**. Thus, PCX module **106** may contain decryption module **1 (502)** through decryption module **n (504)**. PCX module **106** may contain a number of PCX encryption modules **510**. Thus, PCX module **106** 5 may contain PCX encryption module **1 (512)** through PCX encryption module **n (514)** for the encryption of a number of devices. In an alternate embodiment, only one PCX encryption module **510** may be maintained.

PCX module **106** includes a number of registration modules **520** for the negotiation of content channel encryption keys with protocol specific input devices 10 **110**. In one embodiment, PCX module **106** may contain registration module **1 (522)** through registration module **n (524)** corresponding to each protocol specific bus connected to the system.

PCX module **106** contains PCX negotiation modules **530** which are utilized by data safeguarding system **100** to negotiate key exchanges with decoding devices 15 **102**. In addition, the negotiation modules authenticate the decoding devices and maintain key synchronization between PCX module **106** and decoding devices **102**. In one embodiment, PCX module **106** includes from negotiation module **1 (532)** through negotiation module **n (534)** corresponding to individual decoding device **102**.

20 Figure **6A** is a block diagram of one embodiment of an encrypted data stream **600**. Referring to Figure **6A**, encrypted data stream **600** contains a number of blocks of data, each block containing a transport header **602** and a payload **604**. In

one embodiment, the payload **604** and the transport stream header **602** may be 188 bytes in length. Within the encrypted data stream **600**, each block of data may be for a different device **102**. For example, MPEG audio and video data may be interleaved within encrypted data streams **600**. In addition, MPEG audio and video 5 data may be interleaved with AC3 and other data.

Referring now to figure **6B**, in an embodiment of the present invention a PCX data block **606** sent from a PCX module **106** to an application decoder **102** includes both a header **608** portion and a payload **616** portion. The header **608** portion is generally conventional and includes conventional block characteristic information, 10 and a flag **609** of the present invention that indicates whether the payload **616** of the block data contains a tag **610**, or alternatively whether the payload contains a PCX encrypted data. In one embodiment, the header **608** is a packetized elementary stream (PES) header. The payload **616** portion of the present invention includes the tag **610** at a predetermined position that includes an identifier information that can 15 be sent to the PCX module for accessing the decryption key(s) for the payload as well as preferably a portion of the payload replaced by the tag, disclosed presently. The tag preferably includes a stream identifier datum **612** for distinctly identifying the data stream, and a source datum **614** for distinctly identifying the stream source, enabling the application decoder **102** to transmit to a PCX module a message that 20 requests the decryption keys and preferably the portion of the payload for the identified data-stream from a PCX module that can access the decryption keys and preferably portion of the payload. In a safeguarding system **104** in which a data-

stream identifier unambiguously includes the data sufficient to access the decryption keys and preferably the portion of the payload, the tag should only include the data-stream identifier. In other systems, particularly those have a plural number of PCX modules, the tag should also include an additional datum such as the source datum

5       **614.** When the payload includes the tag **610**, the encrypted data stream is modified to replace a portion of the payload that is the size of the tag, with the tag. Thus, the payload content data **616** of the present invention is an encrypted form of the conventional data block that has a smaller portion replaced by the tag **610**. This shall be presented more in subsequent paragraphs with reference to figures **14** and

10      **17.**

Referring now to figure **14**, the block diagram depicted includes the PCX module **106**, and the decoders **102**, that contain circuitry of the present invention. The preferred embodiment of the application decoder **102** and the PCX module **106** each include a processing unit that responds to program instructions of the present invention. Alternatively, as is well known to practitioners of the art, the circuitry does not require a processing unit and can be implemented as a fixed digital circuit without the configurable circuit advantages provided by a programmed processing unit.

The source device **110** transmits an exemplary two intertwined data-streams, 20 a video data-stream and an audio data-stream, to a device specific driver stack **1410** of data safeguarding device **104** via a bus **1420a**. Each data-stream includes a sequence of data blocks, each data block having a conventional header and

payload. The driver stack **1410** retransmits each data-stream to an appropriate PCX module **106**. The PCX module **106** includes at least one decryptor and protocol specific registration engine, and at least one PCX encryptor and PCX negotiator, described herein with reference to figures **3** and **4**. Each data-stream transmitted from the source device **110** is optionally encrypted. The data-stream payloads are each encrypted by a PCX module **106** before transmission to an application decoder **102**, or alternatively optionally encrypted by a PCX module **106** if an individual data stream was transmitted from a source device **110** encrypted, and subsequently decrypted, by the PCX module **106**, so as to distinctly encrypt the data within the data safeguarding device **104**.

The embodiment portrayed in figure **14** includes an application decoders **102a** and **102b** that are each a physically separate device from the PCX module **106**. There are two separate data transmission channels connecting the PCX module **106** to each physically separate application decoder **102a** and **102b**. One of the separate data transmission channels transmits the data-stream from the PCX module **106** to the application decoder. The other separate data transmission channel transmits the non-data-stream data between the PCX module **106** and an application decoder **102**, so these transmissions do not impact other components that access the data-stream transmission. In the embodiment portrayed in figure **14**, each channel is a separate physical transmission line.

The data-stream data transmission path includes the PCX module **106** that sends the exemplary intertwined data-stream to a driver stack **1410**. The driver

stack **1410** sends the data-stream to a splitter **1432**, wherein each separate data-stream is then separated and separately transmitted to an appropriate exemplary application decoder **102a** or **102b**. The video data-stream is routed to the exemplary video application decoder **102a**, and the exemplary audio data-stream is routed to

5 the exemplary audio application decoder **102b**. The non-data-stream data transmission path between the PCX module **106** and the decoder **102a** is exemplary bus **1460a**, and between the PCX module **106** and the decoder **102b** is exemplary bus **1460b**, wherein buses **1460a** and **1460b** may be identical physical devices.

The non-data-stream data includes the identifier necessary for the PCX module to

10 access the data block decryptor keys and optional portion of the payload. The non-data-stream data preferably includes a data-stream identification datum and a source identification datum from the decoders **102a** and **102b**, and the encryption keys and the portion of a replaced payload from the PCX module **106**. The

preferred embodiment non-data-stream data additionally includes an authentication

15 and key exchange (AKE) from the PCX module **106** to the exemplary application decoders **102a** and **102b** to enable a separately encrypted tag and the aforementioned encryption keys to be themselves encrypted, assuring the

embodiment of an authorized and secure decoder(s) **102** in communication with the PCX **106** module and receiving the data-stream. The precise method of transmitting

20 and receiving the data-streams, datum identifiers, and encryption keys, shall be described with reference to figure **17**.

Referring now to figure 15, a programmed processor embodiment of the PCX module 106 runs on a computer system that can include an exemplary unitary processor 1510 that processes data signals. The processor 1510 may be a complex instruction set computer (CISC) microprocessor, a reduced instruction set computing (RISC) microprocessor, a very long instruction word (VLIW) microprocessor, a processor implementing a combination of instruction sets, or other processor device. However, it is understood that the present invention may be implemented in a computer system having multiple processors. The processor 1510 is coupled to a CPU bus 1520, or other communication device for communicating information, that transmits data signals between processor 1510 and other components in the PCX module 106. The computer system includes a memory 1530, or other computer readable media that is commonly a random access memory (RAM) device or other dynamic storage device, that can be used to store temporary variables or other intermediate information during execution of instructions by processor 1510, and is coupled to the bus 1520. The PCX module 106 also includes a read only non-volatile memory such as a semiconductor Read Only Memory (ROM) device, and/or other static storage device 1540 coupled to bus 1520 for storing static information and instructions for processor 1510. Data storage device 1550 is another computer readable medium coupled to bus 1520 for storing information and instructions, and can be such exemplary computer readable media as magnetic disk, and/or an optical disk and corresponding drives. Display 1560 is coupled to bus 1520 for displaying data generated by the processor 1510, and

mouse **1570**, or other exemplary selecting or pointing device, and keyboard **1580**, each couple to the bus **1520**.

Referring to figure **16**, a PCX module **106** includes a programmed processing device **1605** that accesses a memory unit **1615** for transmission of the encrypted data stream to that memory unit **1615**, and for transmission of the keys(s) and tag data. The system includes the exemplary application decoders **1610**, embodied by an exemplary video data application decoder **1610a** and an exemplary audio data application decoder **1610b**. The application decoders **1610** each access the memory unit **1615** for the encrypted data stream. The tag data is read by the decoders **1610**, and sent back to the memory unit **1615**, for access by the PCX computing device **1605**, and a placement of the relevant key(s) and portion of the payload into a memory location that a decoder **1610a** or **1610b** accesses for a read of the key(s) and the replaced portion of payload data. Alternatively, the PCX computing device **1605** can store the key(s) and payload portion in the memory unit for a direct read by an application decoder **1610** according to the content of the transmitted tag data. In another embodiment, as disclosed herein, the application decoder(s) **1610** and the PCX computing device can be embodied by a unitary computing device that executes both program instructions for the application decoder(s), and the PCX module.

Referring to figure **17**, a preferred embodiment block diagram depicted includes the PCX module **1706**, the decoders **1702**, and the driver stack **1710** that contain circuitry of the present invention. As formerly described with reference to

figure 14, the source device **110** transmits an exemplary two intertwined data-streams to a device specific driver stack **1710** of data safeguarding device **104** via a bus **1420a**. The data safeguarding device **104** includes a shared memory **1715**. The driver stack **1710** moves each block to memory **1715** where it is written into a buffer **1715a** of the memory **1715**, and sends to the PCX module **1706** a pointer to the buffer **1715a** for each block. The PCX module **1706** accesses each block according to its memory pointer and distinctly encrypts the data within the safeguarding device **104** as described with reference to figure 14.

The PCX module **1706** additionally replaces a portion of the payload with the tag, and marks a flag, as described with reference to figures **6b** and **14**, and as will be described with reference to figure **18**. The memory **1715** includes a second buffer **1715b** that both the exemplary decoders **1702a** and **1702b** and the PCX module **1706** write to and read from for transmission between them of non-data stream data described with reference to figure **14**, and figure **18**. The PCX module **1706** may also include a splitter circuit that places a pointer in the buffer **1715b** identifying to the application decoders **1702** the data-streams directed to each separate exemplary application **1702a** and **1702b**, or alternatively transmit that data over a separate physical line directly to the application decoders **1702** in a configuration that includes a pre-existing physical bus as depicted with reference to figure **14**. The splitter circuit may be physically separate from the PCX module **1706** including a separate processor that may receive pointers directly from the driver stack **1710**, and may write into a separate buffer in the memory **1710**. In the embodiment herein

portrayed. The interface between a decoder **1702a** and **1702b** and the buffer **1715a** is a first channel, and the interface between a decoder **1702a** and **1702b** and the buffer **1715b** is a second channel.

Referring now to figure **18**, the method and circuit herein described applies 5 to a system of a decoding application **102**, portrayed with reference to both figure **14**, wherein an exemplary video decoder **102a** and audio decoder **102b**, and a physically separate PCX module **106**, in which a data stream is sent to the PCX module from a source device **110**; and analogously to figure **17** as an exemplary video decoder **1702a** and audio decoder **17102b**, and a physically separate PCX 10 module **1706**; as well as a system implemented by a processing device that is both a PCX module and an application decoder(s). As has been described with reference to figure **14**, the preferred circuit includes a programmed processing device, but alternatively can be implemented by digital circuitry that does not include a programmed processing device, or can be implemented alternatively by a 15 programmed processing device in at least one application decoder and/or the PCX module, or a processing device that is embodied partially, but not completely, by a programmed processing device.

The data stream transmitted to the safeguarding system is alternatively unencrypted, or encrypted and has been decrypted by the PCX module as 20 described herein. At block **1805**, the PCX module not necessarily but preferably performs an AKE procedure with each decoder to create a shared session key with each decoder. This session key will be used to encrypt the decryption keys before

they are sent back to the decoder. Additionally this AKE will assure that the applications are authorized to access the PCX module encryption system. At block 1810, the PCX module encrypts the data block payload. The payload is encrypted using at least one key. At block 1815, the PCX source module stores a tag-sized

5 portion of the encrypted payload for subsequent transmission to an application decoder. In the preferred embodiment, the entire payload is encrypted using the key(s). In the present invention, the stored portion can alternatively be encrypted separately with the key(s), or can be optionally left unencrypted. The payload in a following block shall be decrypted in accordance with the encryption characteristic of 10 the stored portion.

At block 1820, a tag is inserted into the payload in the place of the saved payload portion. The tag includes in the preferred embodiment both an identification of the data stream 612 and an identification of the data stream source 614, the source identified because a safeguarding system may include more than one source 15 circuit. The encryption keys and the saved portion of the payload are each referenced to the data-stream identifier. At block 1825, a flag in the header is marked to indicate that the block contains a payload tag. At block 1830, the data block is sent to the appropriate decoder 102 along the data-stream transmission channel described with reference to figure 14, or alternatively described with 20 reference to figure 17. At block 1835, the appropriate application decoder has received the data block from the splitter 1432 with reference to figure 14. At block 1840 the application decoder that has received the data block reads the header flag

position and at block **1845** determines whether the header flag is marked. If the header flag indicates that the payload does not contain a marked flag, control passes out of this flow. If the header flag indicates that the payload does contain a tag, control passes to block **1850** where the data stream identifier datum and the source datum are read and an identifier of each is sent back to each PCX module or alternatively, only the data stream identifier is sent back to the source module circuit identified by the source datum. In the embodiment in which the application decoder module, and the PCX module are physically separate devices, the identifier(s) are sent back to the PCX module along the separate channel as herein described.

At block **1855** the appropriate PCX module reads the data stream identifier. The proper application keys and portion of the payload are determined by reference to the data stream identifier. The second set of encryption key(s) and the stored portion of the payload that was replaced by the tag are transmitted to the target application decoder in accordance with the data stream identifier. In the embodiment in which the application decoder module and the PCX module are physically separate devices, the identifiers are sent back to the PCX module along the separate channel as herein described. At block **1860**, the appropriate application decoder receives the decryption keys key(s) and the payload portion transmitted from the PCX module at block **1855**, and decrypts the key(s) with the session key, replaces the payload portion from the tag position, and then decrypts the payload using the decrypted key(s).

Figure 7 is a block diagram of one embodiment for a shared buffer **700**. Shared buffer **700** includes a device specific header **710** and PCX resync blocks

720. Device specific header **710** includes a header data portion **712** and PCX content key **714**. In one embodiment, PCX resync blocks **720** contain from PCX resync block 1 (**722**) through PCX resync block n (**726**). Header data **712** identifies the decoding device **102** corresponding to the shared buffer **700**. In one embodiment, each decoding device **102** corresponds to a unique shared buffer **700**. In an alternate embodiment, all decoding device **102** use a single, shared buffer **700**. Shared buffer **700** may be any applicable data structure such as, for example, an array, linked list, or other applicable data structure. PCX content key **714** is encrypted with the previously negotiated PCX session key and is the key that will be used to decrypt the payload.

Figure 8 is a block diagram of one embodiment for PCX resync block **720**. Referring to figure 8, PCX resync block **720** includes key delta tag **810**, random initialization vector **815**, and portion of the encrypted payload data **820**. PCX resync block **720** is utilized for key synchronization as described below.

Figure 9 is a flow diagram of one embodiment for safeguarding protocol specific data within a device. Initially at processing block **905**, data safeguarding system **100** receives encrypted protocol specific data. The encrypted protocol specific data may be encrypted for any of a variety of data bus security protocols such as, but not limited to Digital Transmission Content Protection (DTCP), Content Scramble Systems (CSS), and Content Protection for Recordable Media (CPRM). The protocol specific data is received in processing blocks one block at a time.

At processing block **910**, the encrypted protocol specific data is translated into protected content exchange (PCX) re-encrypted data. The translation of the data includes decrypting the encrypted protocol specific data using a content channel encryption key to produce decrypted data. Once the data is decrypted, the 5 payload of the decrypted data is re-encrypted using a PCX content key to produce PCX re-encrypted data. The content channel encryption key is negotiated by a protocol specific registration engine **326** with protocol specific input device **110** upon initiation of the transfer of protocol specific data from the protocol specific input device **110** to decoding device **102**. Once protocol specific input device **110** and 10 protocol specific registration engine **326** have completed the required AKE procedure, a content channel encryption key may be exchanged between protocol specific input device **110** and protocol specific registration engine **326**. This content channel encryption key is used to encrypt the data by protocol specific input device **110** and decrypt the encrypted protocol specific data by protocol specific decryptor 15 **322**. The session key is negotiated between PCX negotiator **328** and decoding device **102**.

After the data is re-encrypted, the re-encrypted data and the PCX content key encrypted by the PCX session key are transferred to the decoding device **102** at processing block **915**. In one embodiment, the re-encrypted data is split into a 20 number of data streams which are transferred to appropriate decoding devices **102**. At processing block **920**, decoding device **102** decrypts the PCX content key

and uses it to decrypt the re-encrypted data. The unencrypted data is further decoded by decoding device **102**.

Figure 10 is a flow diagram of one embodiment for decrypting re-encrypted data by decoding device **102**. Referring to **Figure 10**, decoding device **102** receives 5 re-encrypted data at processing block **1005**. At processing block **1010**, decoding device **102** retrieves the encrypted PCX content key from PCX negotiator **328**. If decoding device **102** is not registered, PCX negotiator **328** registers the protocol 10 device **102** and negotiates the PCX session key for the protocol device **102**. At processing block **1015**, decoding device **102** decrypts the re-encrypted data using the PCX content key.

Figure 11 is a flow diagram of one embodiment for creating a PCX resync block **720**. Initially at processing block **1105**, PCX module **106** receives protocol specific encrypted data. Next, at processing block **1110**, PCX module **106** determines if a new resync point has been reached. If a new resync point has not 15 been reached, processing continues at processing block **1130**. If a new resync block has been reached, processing continues at block **1111**. At processing block **1111**, PCX module **106** determines if PCX content key needs to be generated. If no new PCX content key needs to be generated, processing continues at processing 20 block **1115**. However, if a new PCX content key needs to be generated, processing continues at processing block **1112**.

At processing block **1112**, the new PCX content key is generated. PCX module **106** uses the existence of natural synchronization points within the original data stream to determine when to create a new PCX content key.

At processing block **1115**, PCX module **106** generates PCX tag **610** that is a

5 unique identification for the PCX resync block **720**. In one embodiment, PCX tag **610** may be an array index value. In alternate embodiments, PCX tag **610** may be any suitable index value to the PCX resync block **720**. At processing block **1120**, PCX module **106** copies PCX flag **609**, PCX tag **610**, TSID **612**, and PID **614** into the payload portion of the data stream and saves the original portion in location **820**

10 in the resync block **720**.

At processing block **1125**, PCX module **106** updates PCX resync data **720**. If the PCX content key being used to encrypt the payload is different from the PCX content key used on the previous block for the same decoding device **102**, key delta tag **810** is incremented. Otherwise, key delta tag **810** is unchanged. In this manner,

15 PCX content keys may be changed periodically during re-encryption of the data.

This increases the security of the data within system **100**. In one embodiment, PCX content key is changed on a fixed time interval or after a fixed number of PES headers **608** have been processed.

In order to increase the security of system **100**, the PCX content key is

20 altered on each PES header **608** change by using a random initialization vector as a seed value to modify the key. This allows splitter **232** to drop a data block without losing the ability to decrypt the remaining data in the input stream. In one

embodiment, key delta tag **810** and random initialization vector **815** are not encrypted. PCX content key **714** is encrypted with the previously negotiated PCX session key.

At processing block **1130**, PCX module **106** encrypts the payload containing  
5 the resync data using the PCX content key.

Figure 12 is a flow diagram of one embodiment for decrypting a PCX resync block **720**. Initially at processing block **1205**, decoding device **102** receives a block of PCX encrypted data. At processing block **1210**, decoding device **102** decrypts the payload and determines if the block of data is a resync block. If not, processing  
10 continues at step **1219**. If the block of data is a resync block, processing continues at block **1211**.

At processing block **1211**, decoder **102** checks if key delta tag **810** changed. Delta tag **810** indicates if PCX content key has changed. If so, at processing block **1213**, decoding device **102** retrieves PCX content key **714** from shared buffer **700**.  
15 At processing block **1215**, decoding device **102** extracts PCX tag **610** and performs a look-up of the resync block **720** within shared buffer **700**. Decoding device **102** restores the original payload.

Decoding device **102** then decrypts the PCX content key using the previously negotiated PCX session key. At processing block **1218**, decoder **102** reinitializes  
20 the decryption cipher using the PCX content key and the random initialization vector **815**.

At processing block **1219**, decoder **102** decrypts the payload using the decryption cipher. At processing block **1220**, the decoding device **102** decodes the payload of the unencrypted data for further processing (for example, playback by MPEG decoder).

5        The protocol specific data may contain copy control information (CCI) which allows the content owners to assign varying levels of priority for what can and can't be done with the data. The data may be "copy free" which means there is no restriction to copying the data. The other end of the spectrum is "copy never" which means that as soon as the AKE is negotiated, a device must render the data  
10      immediately. In this scheme, a device can not make any copies, can not save the data for later use, or anything similar. Thus, when a device receives the data, it is sent to the consumer, and then the data gets thrown away.

      The other two schemes are "copy once" and "copy no more." If a device receives data that is marked as "copy once," the device may make a single copy of  
15      the data if the user chooses to do so. This scheme allows recording for later viewing. When a device receives data that is marked "copy once," the device may save it, but then once it is saved, when it is retrieved after saving, the device must mark the data as "copy no more."

      In one embodiment, during transfer of data within system **100**, if the data is  
20      unencrypted, the CCI information is susceptible to interception and unauthorized change. Thus, if the data is marked "copy never" and the information is hacked, the data may be pirated within system **100**. The CCI information is contained within

transmission header **602**. The transmission header **602** is not encrypted during transfer though system **100** and is susceptible to change.

Within system **100**, the CCI information is built into the PCX content key. The CCI information retrieved from the data stream in transmission header **602** is used 5 as part of the seed to generate the key. Thus, by combining the PCX content key with the control information before re-encryption, system **100** guarantees that any modification of the CCI information in the transmission header **602** will result in incorrect decryption of the protected data. During decryption of the re-encrypted data by decoding device **102**, the CCI information is extracted from the transmission 10 header **602** and combined with the PCX content key to create the decryption key.

The above method may be used to protect any information embedded within the transmission header **602**. Thus, information such as, for example, copy quality which may indicate the quality of audio a user is allowed to copy, how many times a device is allowed to copy this content, and similar information may be protected from 15 change while the data is transferred within system **100**.

Figure 13 is a block diagram of one embodiment for an information synchronizing system **1500**. Content exchange device **1510** is configured to receive fixed-size data **1505**. Content exchange device **1510** is further configured to save a portion of the original payload of the fixed-size data **1505** in shared memory buffer 20 **1540** and configured to save synchronization information together with the original portion in shared memory buffer **1540**. In one embodiment, decryptor **1525** is configured to decrypt fixed-length data **1505** as it is received by content exchange

device **1510**. Negotiator **1515** is configured to embed a tag to the appropriate synch block in shared memory buffer **1540** within a payload area of the fixed-size data **1505** to produce replacement data **1530**. In one embodiment, encryptor **1520** is configured to encrypt the payload of replacement data **1530** and configured to 5 encrypt the original payload saved in shared memory buffer **1540**.

Decoding device **1535** is configured to extract the embedded tag from replacement data **1530** and to retrieve the original payload and synchronization information from shared memory buffer **1540** corresponding to replacement data **1530**.

10 In one embodiment, decoding device **1535** is contained within the same device as shared memory buffer **1540**. In an alternate embodiment, decoding device **1535** is a separate device from the device containing shared memory buffer **1540**.

15 While certain exemplary embodiments have been described and shown in the accompanying drawings, it is to be understood that these embodiments are merely illustrative of and not restrictive of the broad invention. The present invention is not limited to the specific constructions and arrangements shown and described, and alternative embodiments will become apparent to those skilled in the art to which the present invention pertains without departing from the scope of the present 20 invention. The scope of the present invention is defined by the appended claims rather than the forgoing description. In the appended claims, a physical embodiment of each recited circuit limitation does not necessarily include completely separate

physical devices from another recited circuit limitation. An embodiment of each circuit may share at least one element with another circuit.

## CLAIMS

What is claimed is:

1 1. A machine readable medium that provides instructions, which when  
2 executed by at least one processor, cause said processor to perform operations  
3 comprising:

4 encrypting a payload of a data block of a data-stream with at least one key,  
5 before transmitting the data-stream from a first system to a second system;  
6 replacing a portion of said payload with a tag that identifies an at least one  
7 decrypting key to said first system, before said transmitting; and  
8 setting a flag in a header of the data block that indicates that said payload  
9 has said tag, before said transmitting.

1 2. The medium defined in claim 1 wherein said encrypting includes encrypting  
2 said portion of said payload.

1 3. The medium defined in claim 1 wherein said tag includes one of:  
2 a data-stream identifier, and  
3 a data-stream identifier and a source, said source characterized by at  
4 least one of a source of said keys, and a source of said keys and a source of  
5 said portion of said payload.

1 4. The medium defined in claim 1 wherein said operations further include

2 receiving a transmission from said second system that includes data  
3 indicating said tag; and  
4 sending one of said keys, and said keys and said portion of said payload, to  
5 said second system based on said transmission.

1 5. The medium defined in claim 1 wherein said operations further include before  
2 setting said flag and encrypting said payload; said first system  
3 setting said flag in said header,  
4 encrypting said payload, and  
5 receiving a stream of data from a third system wherein said data-stream is  
6 based on said stream of data.

1 6. A machine readable medium that provides instructions, which when executed  
2 by at least one processor, cause said processor to perform operations comprising:  
3 after a fixed-length data block of a data-stream, the data block having both a  
4 payload including an encrypted data portion and at least one tag bits, and a header,  
5 is received by a second system, reading a flag in the header indicating that the data  
6 block has the tag bits;  
7 if the flag indicates that the data block has the tag bits, reading at least one  
8 bit identifying the data-stream in the tag bits;  
9 sending a datum from the second system to a transmitting first system  
10 indicating an identification of the read data-stream based on the at least one bit;

11           the second system receiving from the first system a definition of a decrypting  
12        keys for the data-stream based on the datum sent from the second system to the  
13        first system; and

14           decrypting the data block in the second system based on the decrypting keys  
15        received by the second system.

1       7.       The medium defined in claim 6 further including the second system receiving  
2        from the first system the portion of the payload based on the datum sent from the  
3        second system to the first system.

1       8.       The medium defined in claim 6 further including the second system replacing  
2        the at least one tag bits in the payload with the portion of the payload, and if the  
3        portion of the payload is encrypted the decrypting includes decrypting the portion of  
4        the payload.

1       9.       A method comprising:  
2            a sending system replacing a portion of a data block payload with at least one  
3            tag bits that identify an at least one decrypting key;  
4            said sending system setting a flag in a header of said data block that  
5            indicates at least one of said payload is encrypted and said payload includes said  
6            tag;  
7            said sending system encrypting said payload with at least one key; and  
8            said sending system transmitting said data block to a receiving system after  
9            said setting a flag, said encrypting, and said replacing.

1 10. The method defined in claim 9 wherein said encrypting includes encrypting  
2 said payload portion.

1 11. The method defined in claim 9 further including said sending system  
2 transferring a first data characterized by at least one of:  
3 said at least one key to said receiving system; and  
4 said at least one key and said payload portion to said receiving system.

1 12. The method defined in claim 11 wherein said sending system transmitting  
2 said first data is based upon said receiving system transmitting to said sending  
3 system said tag bits.

1 13. The method defined in claim 12 further including one of:  
2 said sending system transmitting said payload portion to said receiving  
3 system based upon said receiving system transmitting to said sending system said  
4 tag bits; and said receiving system replacing said tag bits with said payload portion  
5 in response to receiving said payload portion from said sending system, and  
6 wherein said encrypting includes encrypting said payload portion, and said  
7 decrypting includes decrypting said payload portion; and  
8 said sending system transmitting said payload portion to said receiving  
9 system based upon said receiving system transmitting to said sending system a first  
10 datum that identifies a data-stream that includes said data block, and said receiving

11 system replacing said payload portion in response to receiving said payload portion  
12 from said sending system.

1 14. The method defined in claim 9 wherein said transmitting occurs via a shared  
2 memory unit.

1 15. The method defined in claim 9 wherein  
2 said sending system and said receiving system are separate physical  
3 devices;

4 said transmitting of said data block occurs on a first channel; and  
5 transmitting of non-data block data including at least one of said key from  
6 said sending system to said receiving system, said payload portion from said  
7 sending system to said receiving system, and a datum that identifies a data-stream  
8 that includes said data block, occurs on at least one separate second channel.

1 16. The method defined in claim 9 wherein said tag bits further identify a source  
2 of said keys in said sending system.

1 17. A method comprising:  
2 a receiving system of an encrypted data block that has a payload and a  
3 header reading a set flag in a header of said data block;  
4 said receiving system reading at least one tag bit in a payload portion of said  
5 data block in response to said reading said set flag;

6        said receiving system sending a first datum to a sending system of said  
7        encrypted data block that identifies a data-stream that includes said data block  
8        based on said read tag bits; and

9        said receiving system decrypting said a payload data of said payload portion  
10      in response to receiving a decryption keys from said sending system.

1        18.    The method defined in claim 17 wherein said tag bits have a source identifier  
2        in said sending system of said decryption keys, and further including said receiving  
3        system sending said source identifier to said sending system in response to said  
4        reading.

1        19.    A data safeguarding system for a data block sent from a first system to a  
2        second system including:

3        a first system payload replacement circuit that replaces a portion of a payload  
4        of said data block with a tag data that indicates at least one decryption key for said  
5        data block in said first system;

6        a first system header flag setting circuit that sets a flag in a header of said  
7        data block when said data block includes said tag;

8        a first system encryption circuit that encrypts said payload with said keys; and  
9        a first system data-stream sending circuit that sends a data-stream that  
10      includes said data block to said second system after said header flag setting circuit  
11      sets said flag and said encryption circuit encrypts said payload and said payload  
12      replacement circuit replaces said portion of a payload.

1 20. The system defined in claim 19 wherein said first system encryption circuit  
2 encrypts said portion of said payload.

1 21. The system defined in claim 19 further including at least one of  
2 a first system sending circuit that sends said at least one key to said second  
3 system; and  
4 a first system sending circuit that sends said at least one key and said portion  
5 of said payload to said second system.

1 22. The system defined in claim 21 wherein said first system sending circuit  
2 sending is based upon said first system receiving from said second system a first  
3 datum that indicates at least one decryption key for said data block in said first  
4 system

1 23. The system defined in claim 19, further including:  
2 a second system header flag reading circuit that reads said flag in said  
3 header;  
4 a second system tag data reading circuit that reads said tag data if said  
5 second system header flag reading circuit indicates that said flag includes said tag  
6 data;  
7 a second system data sending circuit that sends to said first system a datum  
8 that identifies said data-stream based on said tag data; and  
9 a second system decrypting circuit that decrypts said encrypted block.

1 24. The system defined in claim 23 further including a first system key sending  
2 circuit that sends said at least one key to said second system, and wherein said  
3 second system decrypting circuit decrypts said data stream based on said at least  
4 one key.

1 25. The system defined in claim 23 further including  
2 a first system sending circuit that sends said portion of said payload to said  
3 second system in response to receiving from said second system a datum that  
4 indicates said decryption keys in said first system

5 said first circuit encryption circuit further encrypts said replaced portion of  
6 said payload;

7 a second system payload replacement circuit that replaces said received tag  
8 data with said portion of said payload; and

9 said second system decrypting circuit further decrypts said portion of said  
10 payload.

1 26. The system defined in claim 19 wherein at least one of:  
2 said sending occurs via a shared memory; and  
3 said first system and said second system are separate physical devices; said  
4 sending of said data-stream occurs on a first channel; and sending non-data-stream  
5 data including at least one of said at least one key, said portion of said payload, and  
6 said data-stream identifier occurs on a second channel.

1       27. The system defined in claim 23 wherein said tag data further has an  
2 identifier for accessing a first system unit that can send to said second system said  
3 keys.

1       28. The system defined in claim 19 further including before said first circuit  
2 header flag setting circuit setting said flag and said first circuit encryption circuit  
3 encrypting said payload, a second circuit receiving circuit that can receive a stream  
4 of data from a third system wherein said data-stream is based on said stream of  
5 data.

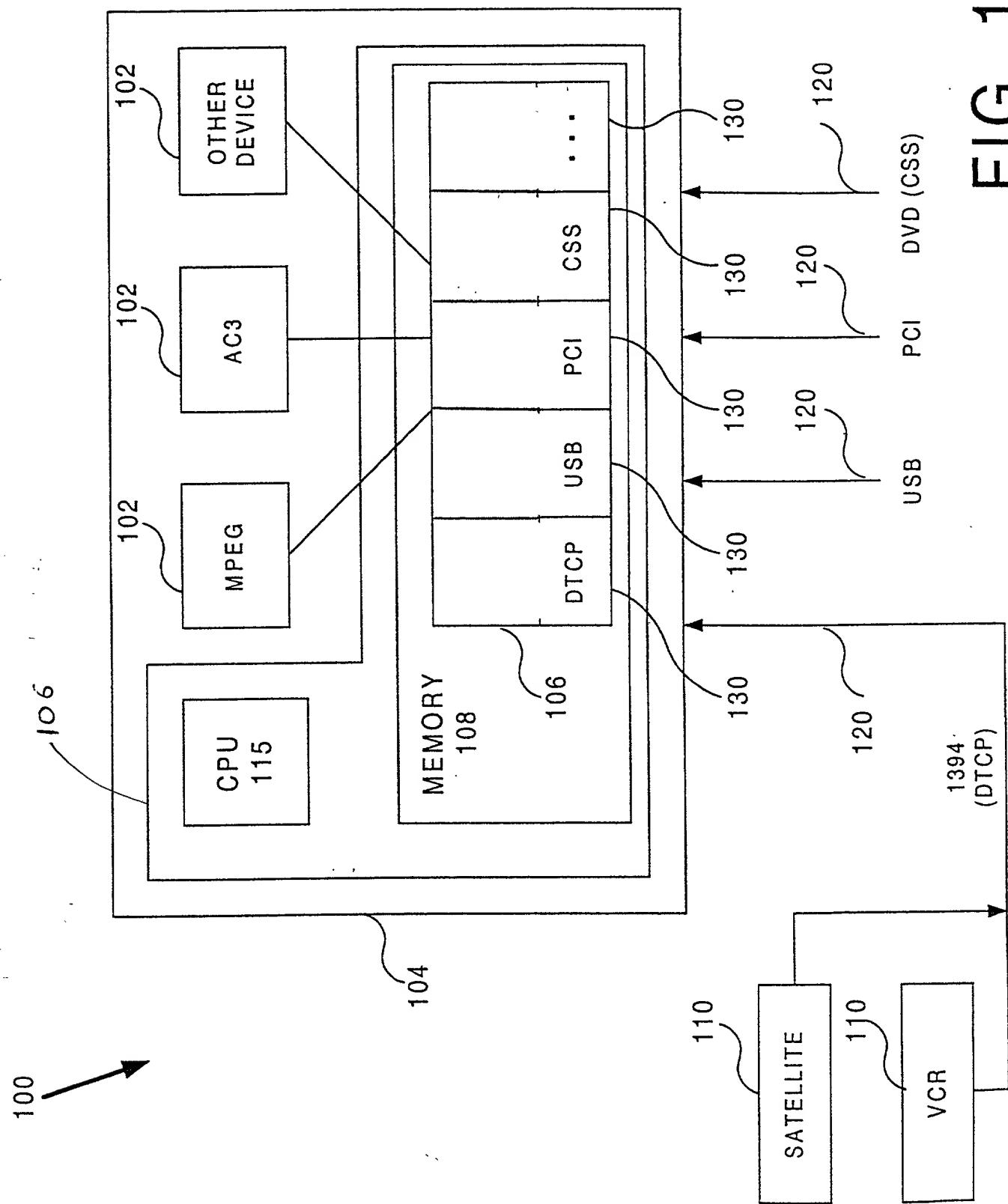
1       29. A system for safeguarding a data block of a data-stream sent from a  
2 first system to a second system comprising:  
3           a second system header flag reading circuit that reads a flag in a header of  
4 said data block;  
5           a second system tag data reading circuit that reads a data-stream identifier in  
6 a tag data of a payload portion of said block if said header flag reading circuit  
7 indicates that said flag includes said tag data; and  
8           a second system data sending circuit that sends to said first system a first  
9 datum that identifies said data-stream based on said data-stream identifier.

1       30. The system defined in claim 29 further including a second system  
2 decrypting circuit that decrypts said data block.

ABSTRACT OF THE DISCLOSURE

A data safeguarding system, method, and article for safeguarding an encrypted data-stream transmitting on a first channel from a first system to a second system. The data-stream can be intertwined with other data-streams. The data-  
5 stream is arranged in fixed length sequential blocks, each block including a header portion and a payload portion. The first system places a flag marking in the header portion indicating that the payload includes a tag having at least one identifier for selecting the decryption keys from the first system. The second system reads the flag, and if the flag indicates a tag portion, reads the tag portion. The second  
10 system transmits the identifier to the first system on a second channel. The first system reads the identifier, retrieves the keys, and transmits the decryption keys to the second system on the second channel. The second system receives the decryption keys and decrypts the data block using the decryption keys.

FIG. 1



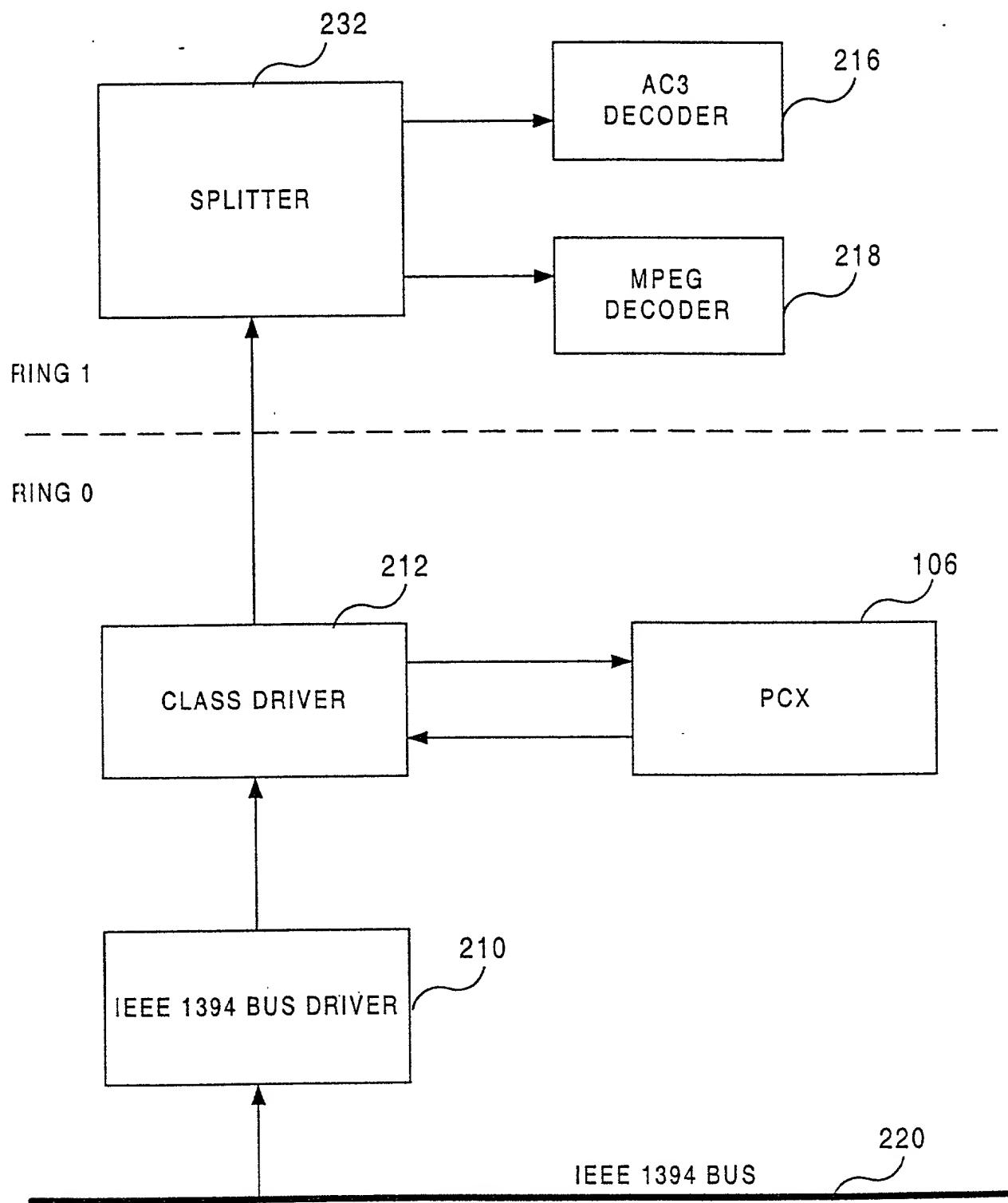


FIG. 2

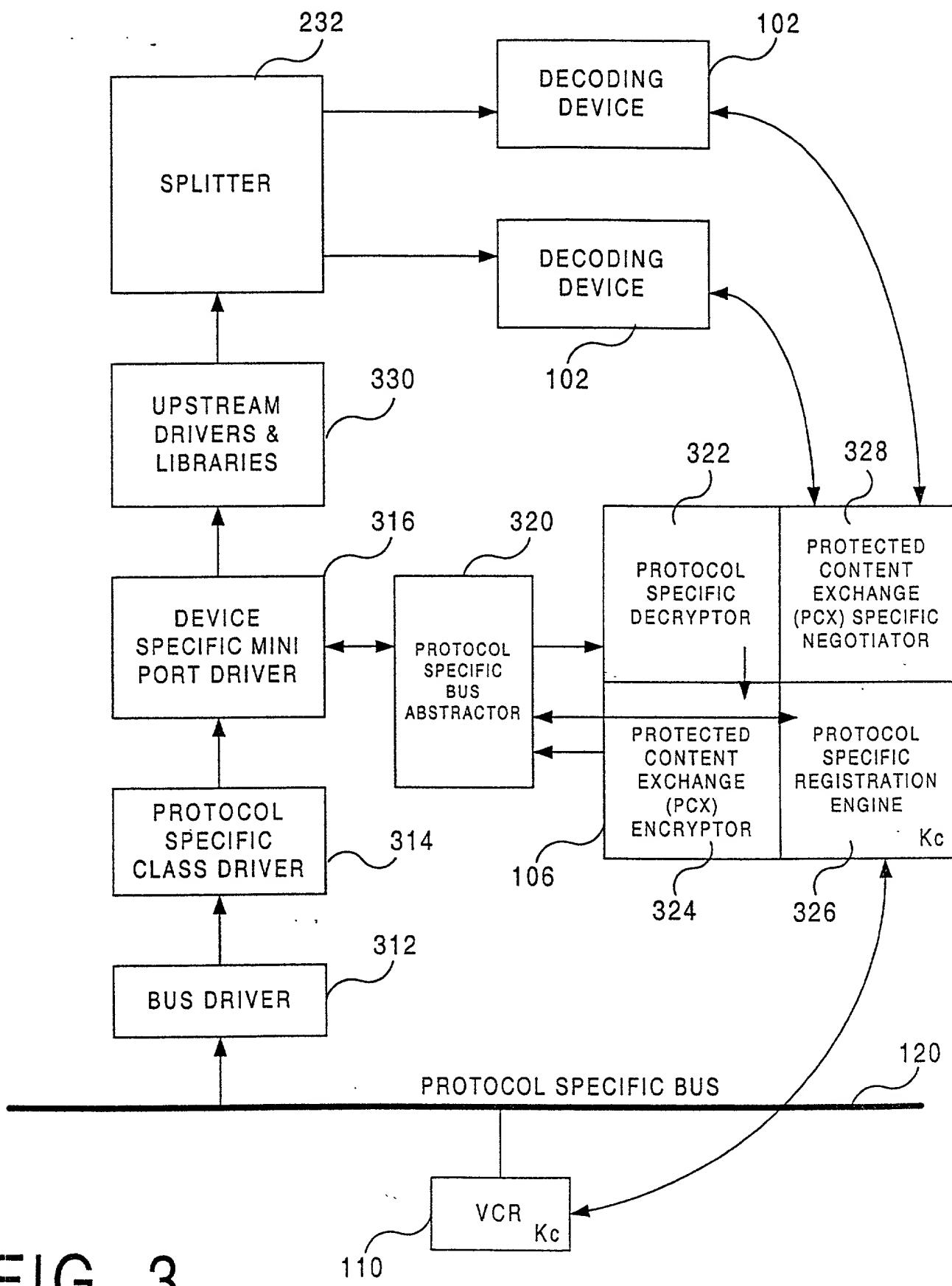
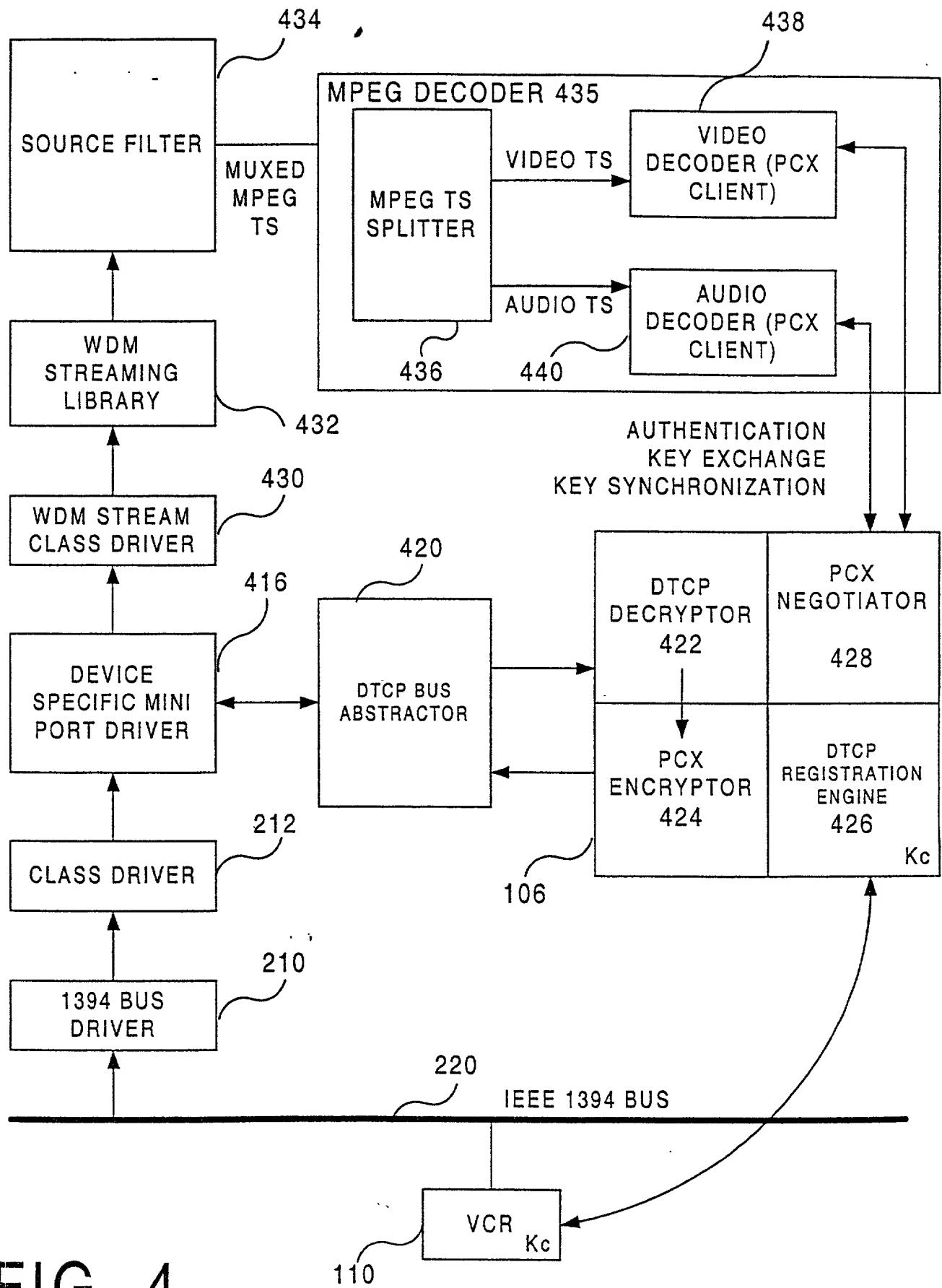
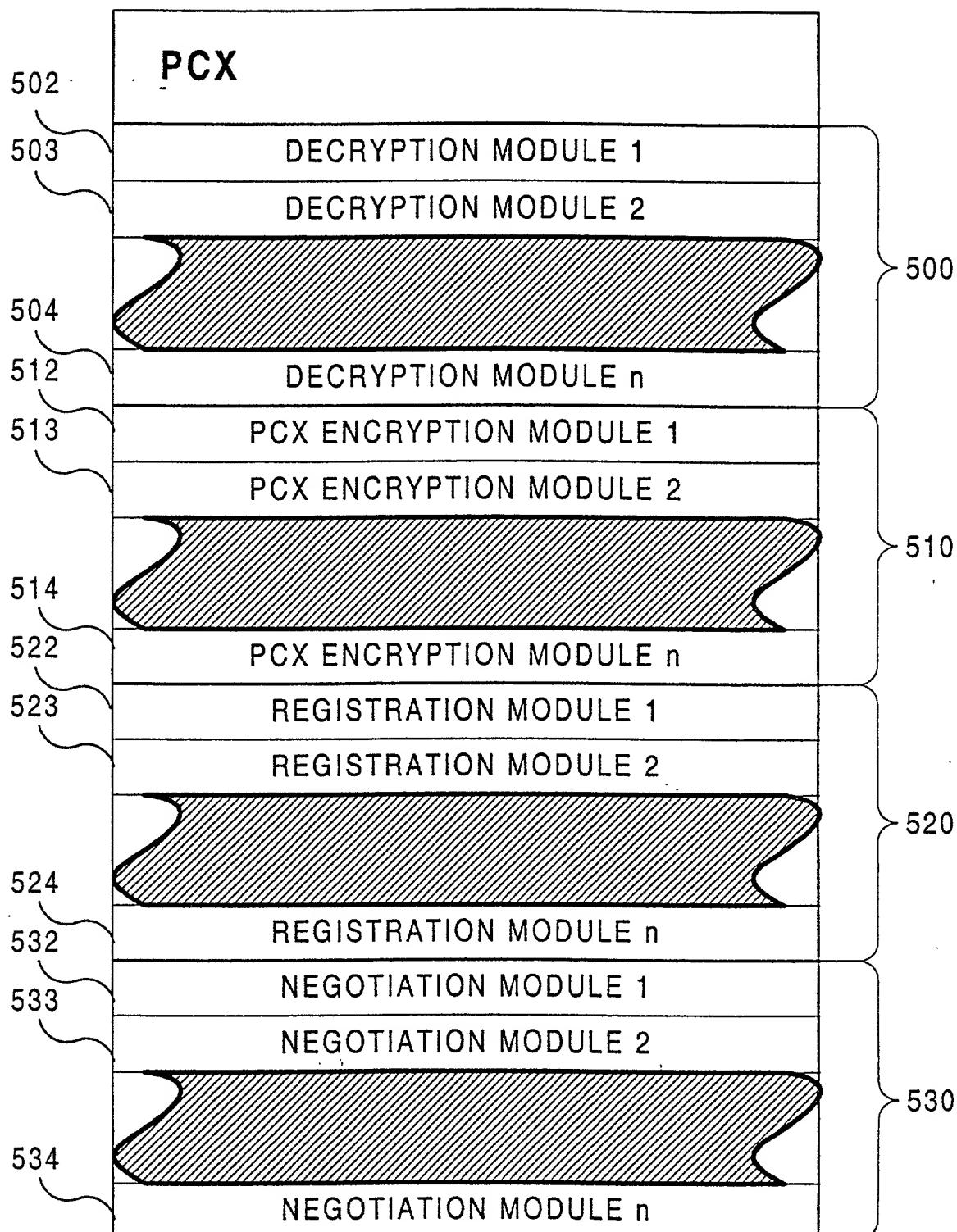


FIG. 3





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FIG. 5

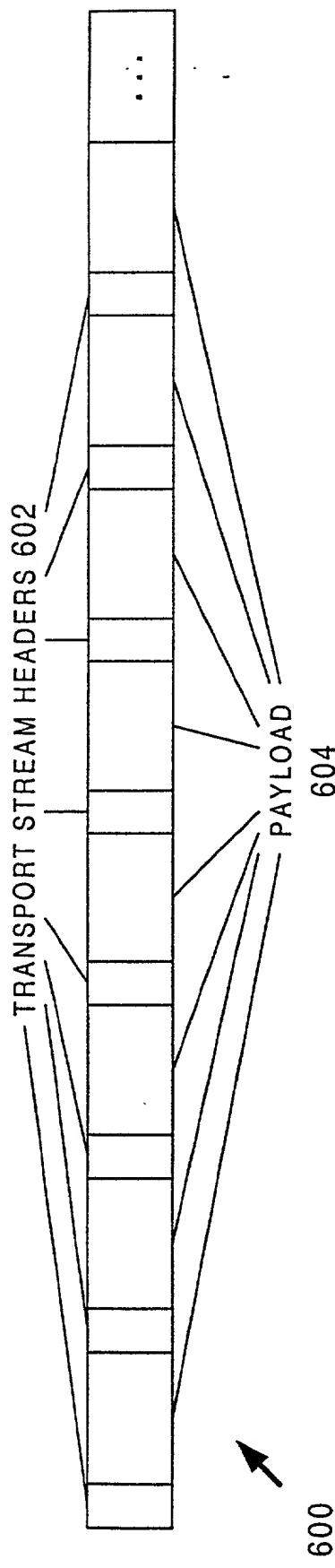


FIG. 6A

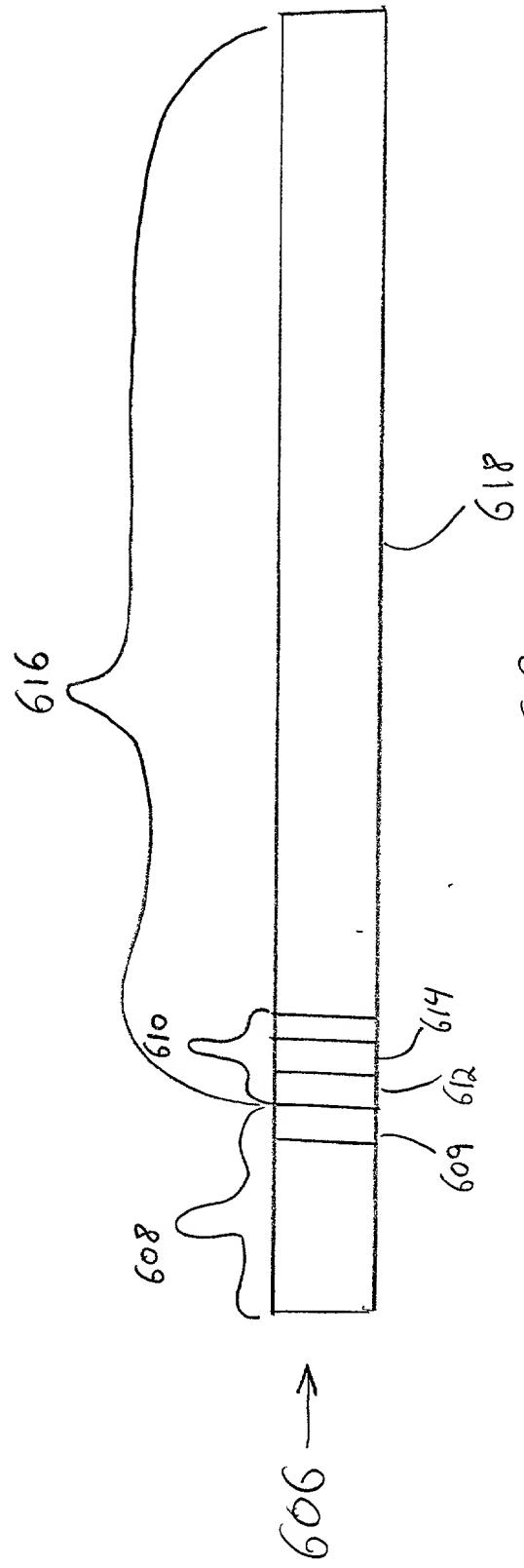


FIG. 6B

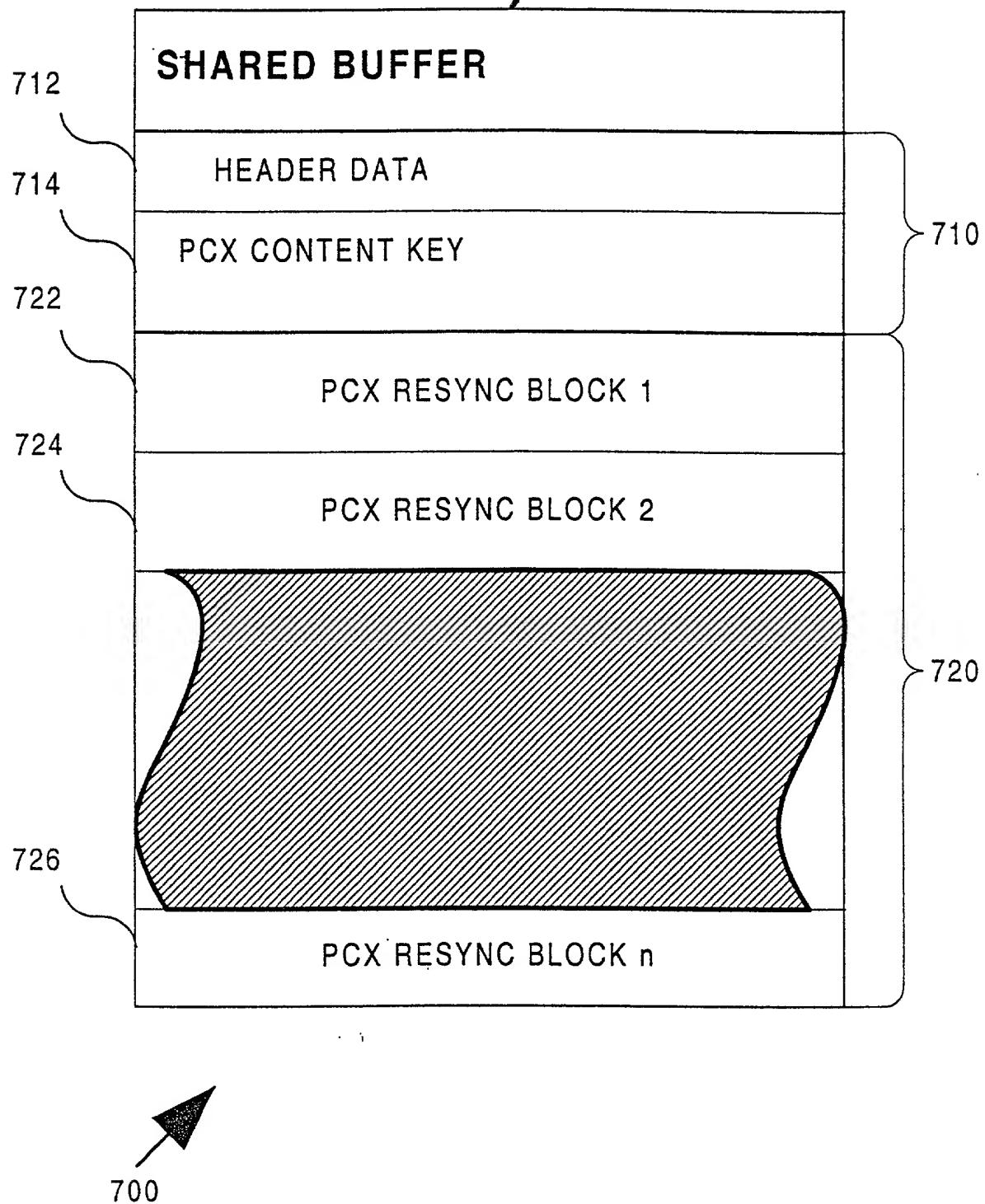
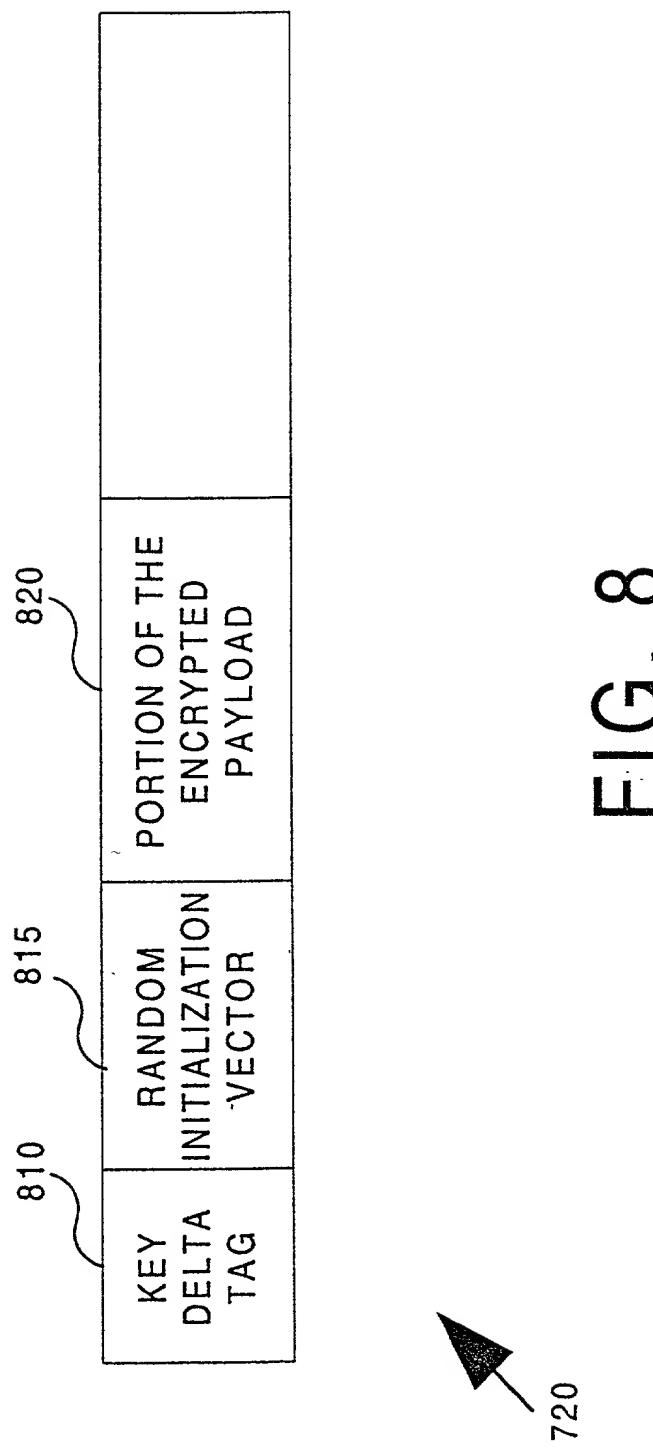


FIG. 7



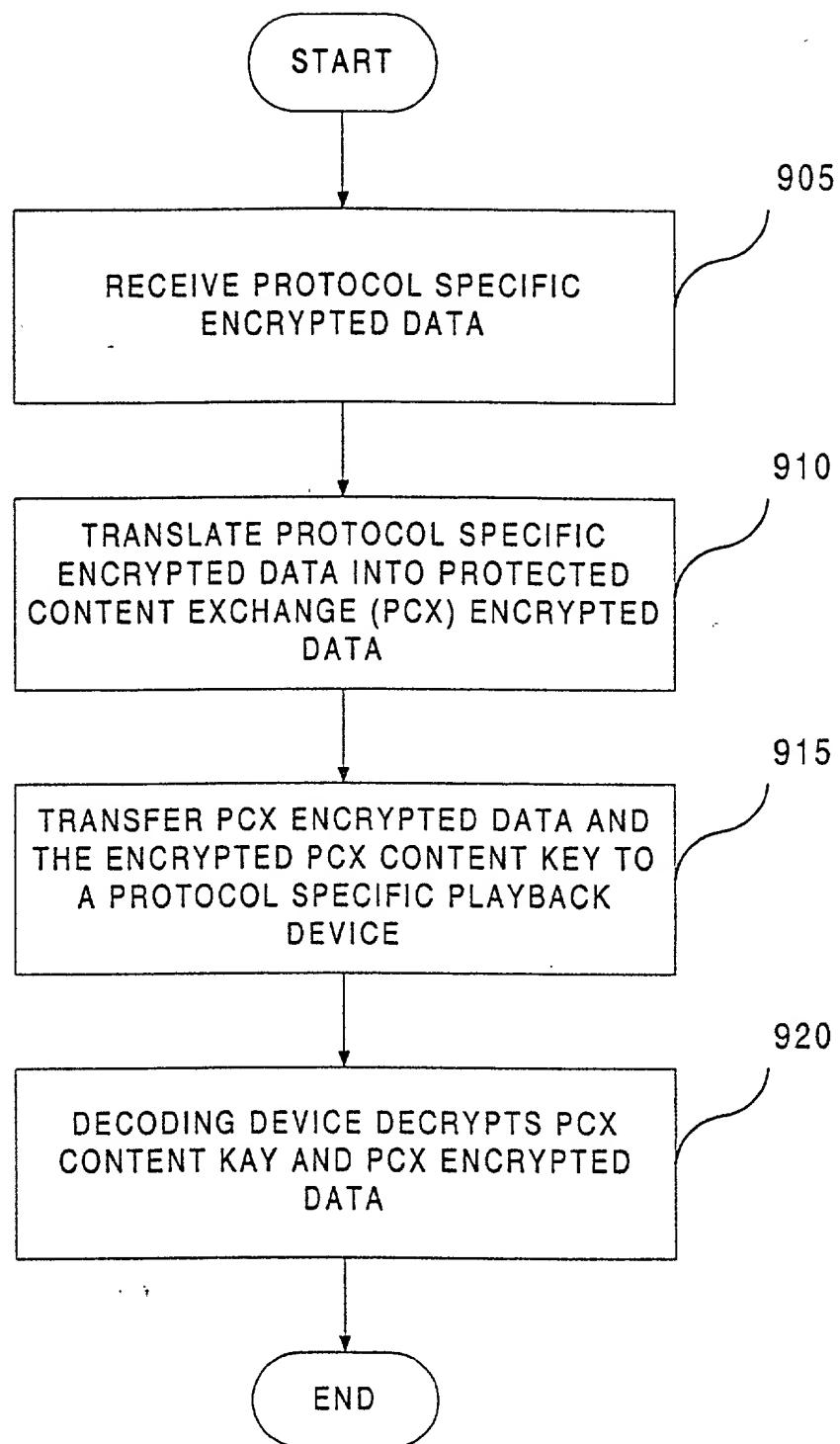


FIG. 9

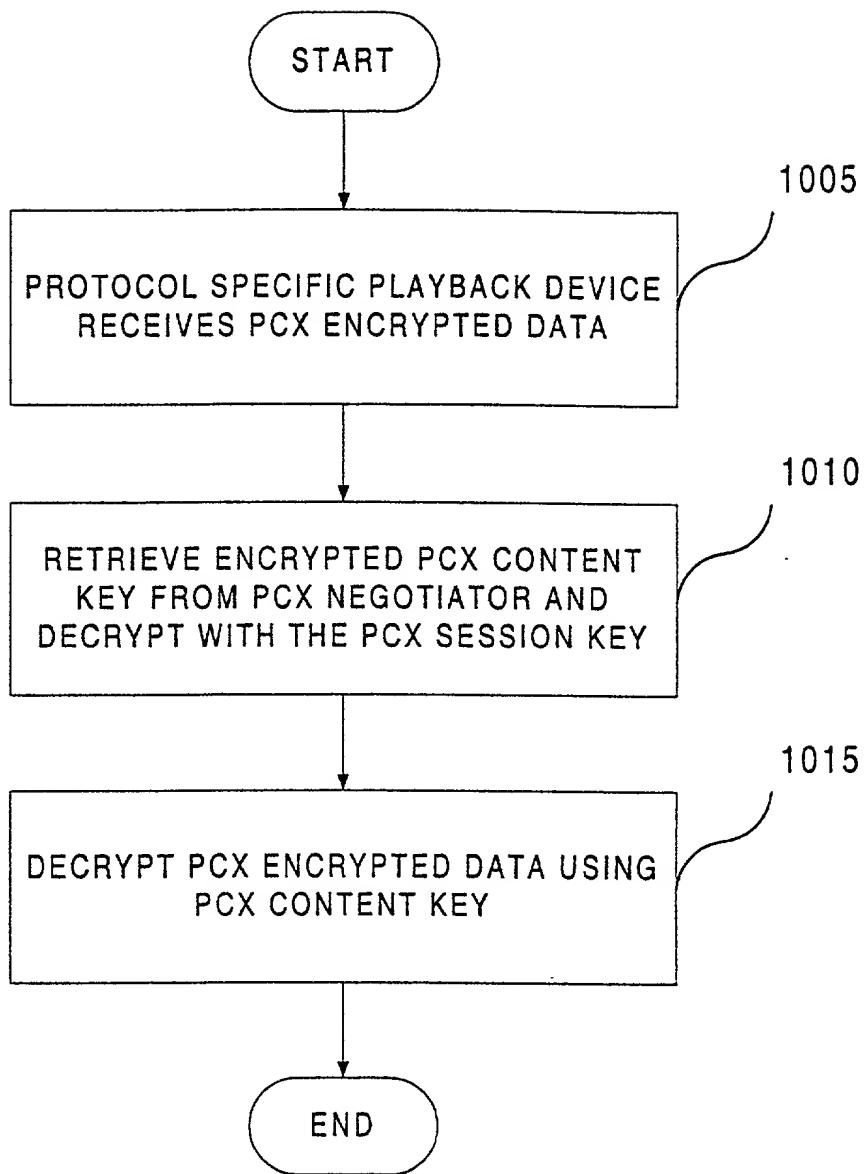


FIG. 10

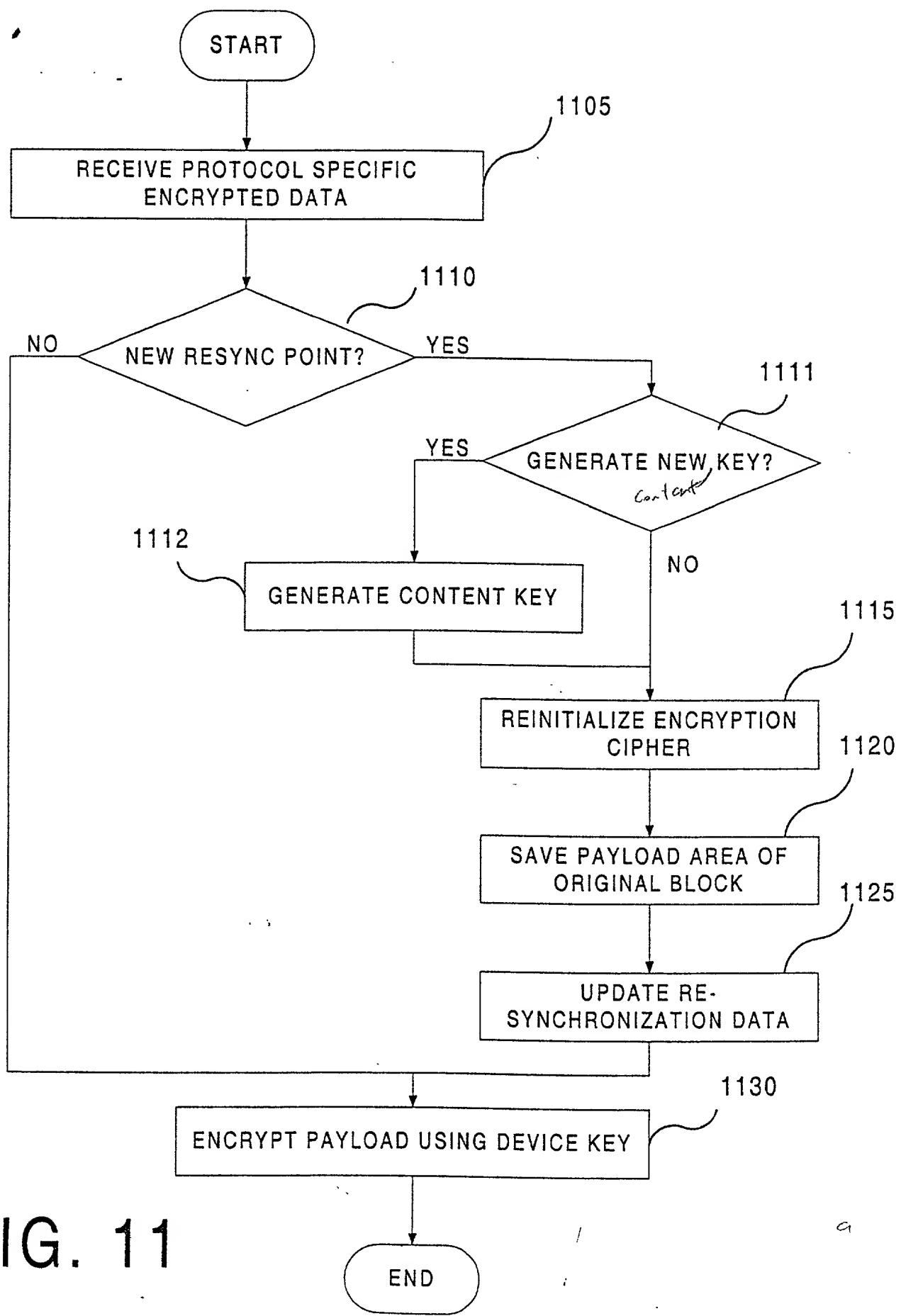


FIG. 11

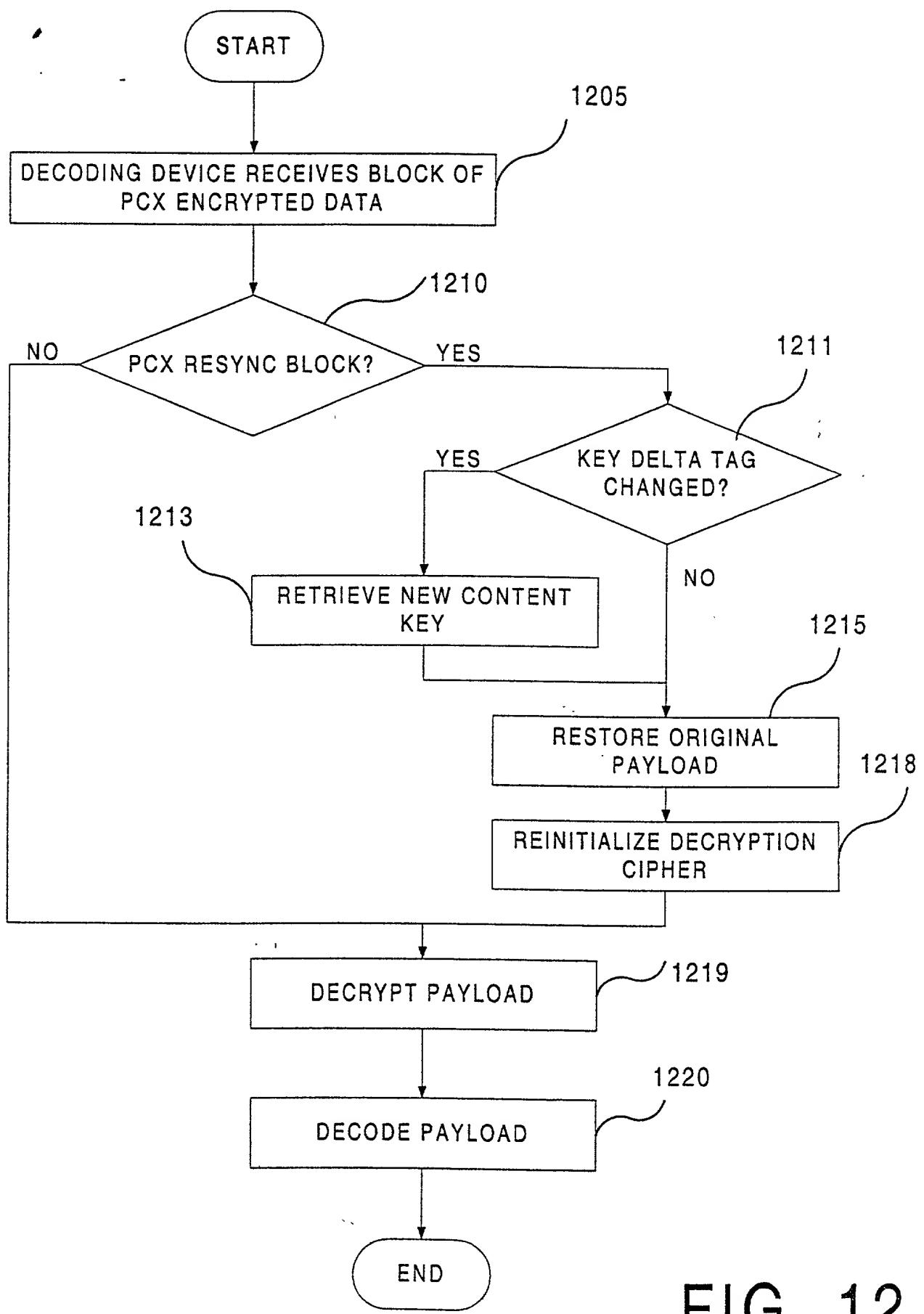
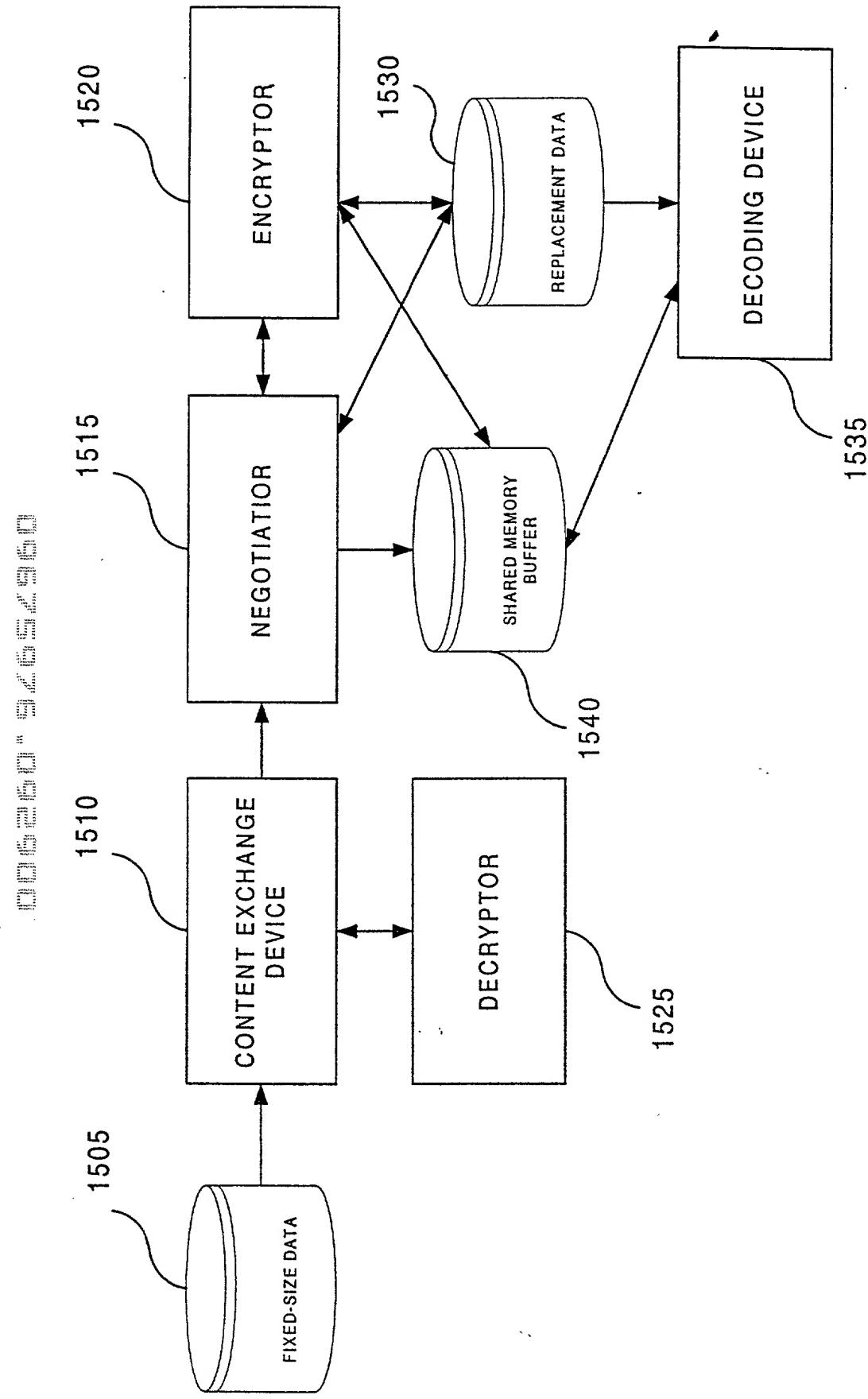


FIG. 13



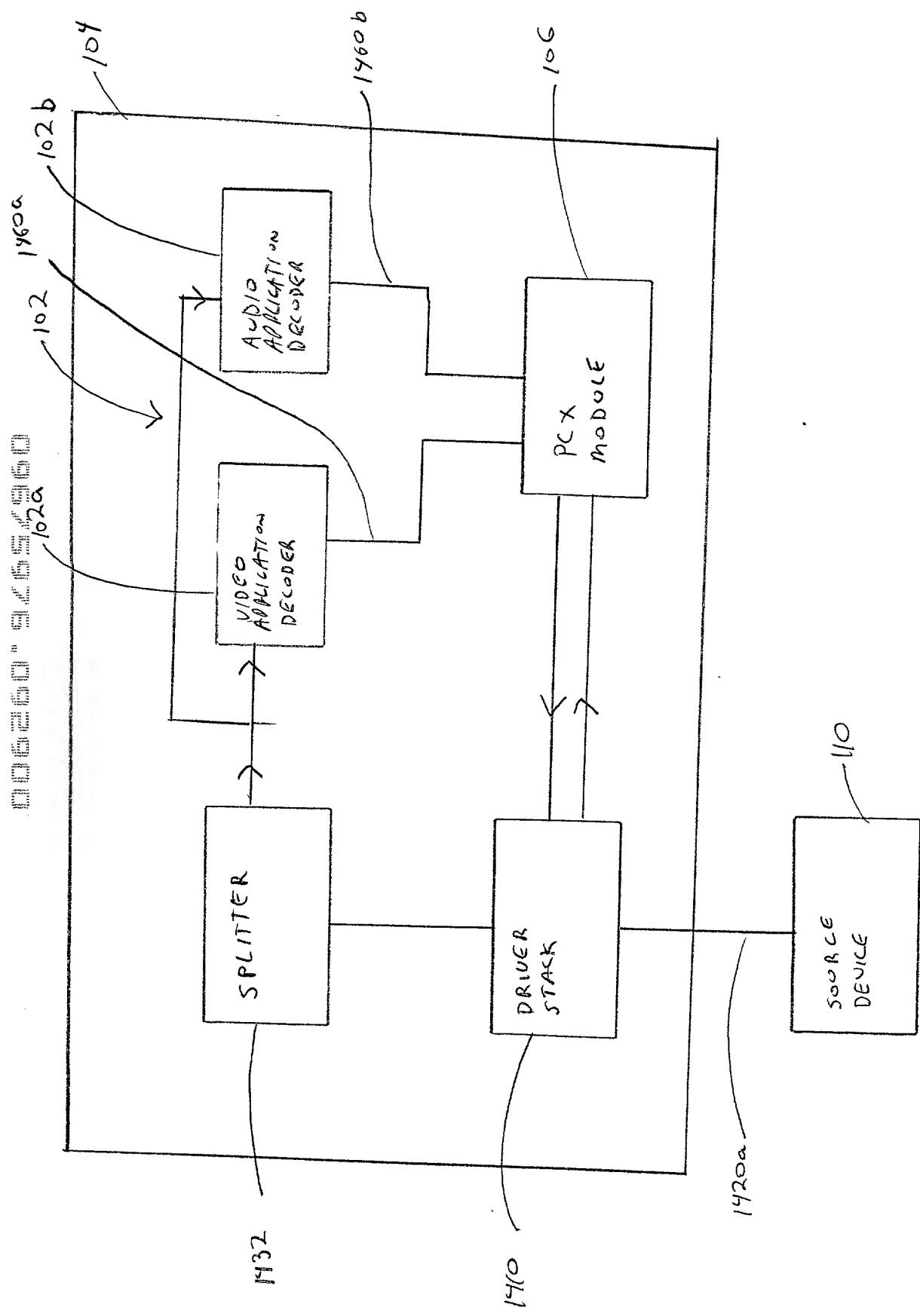


FIG. 14

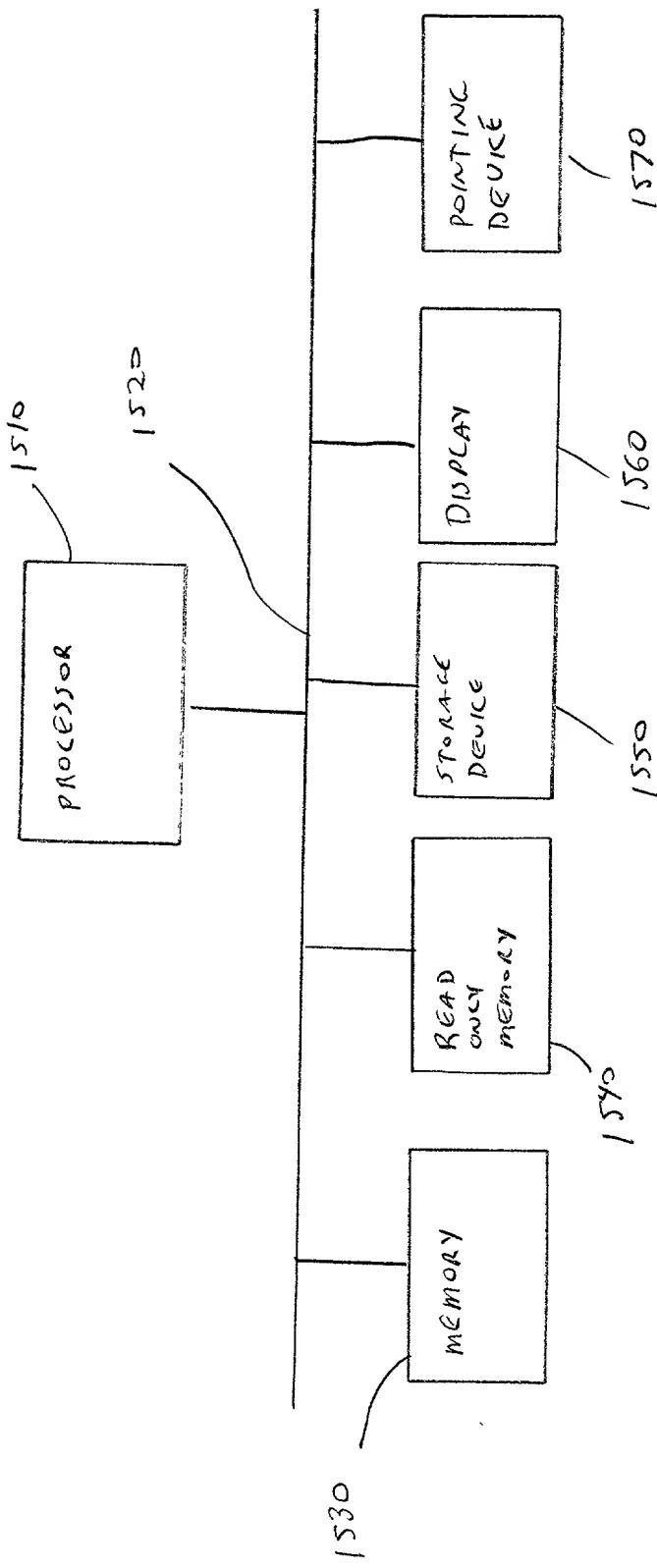


Fig. 15

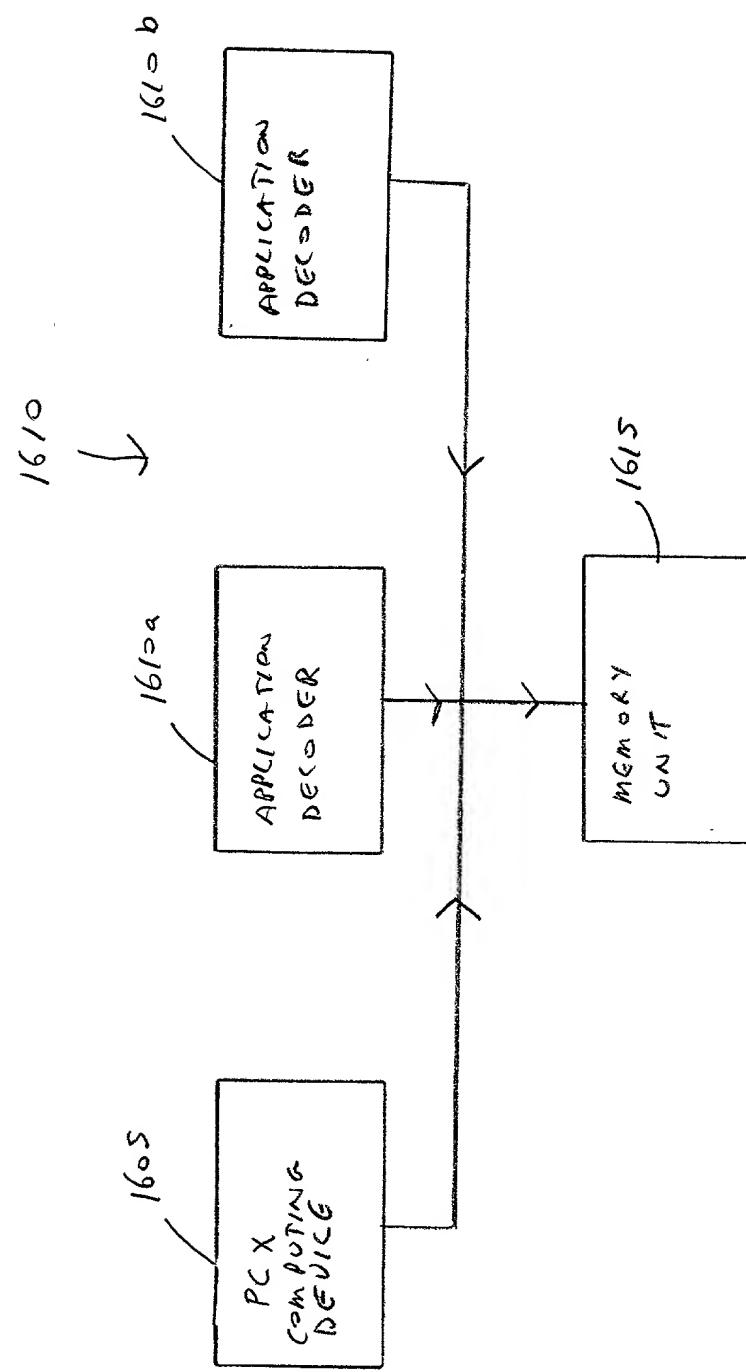


Fig. 16

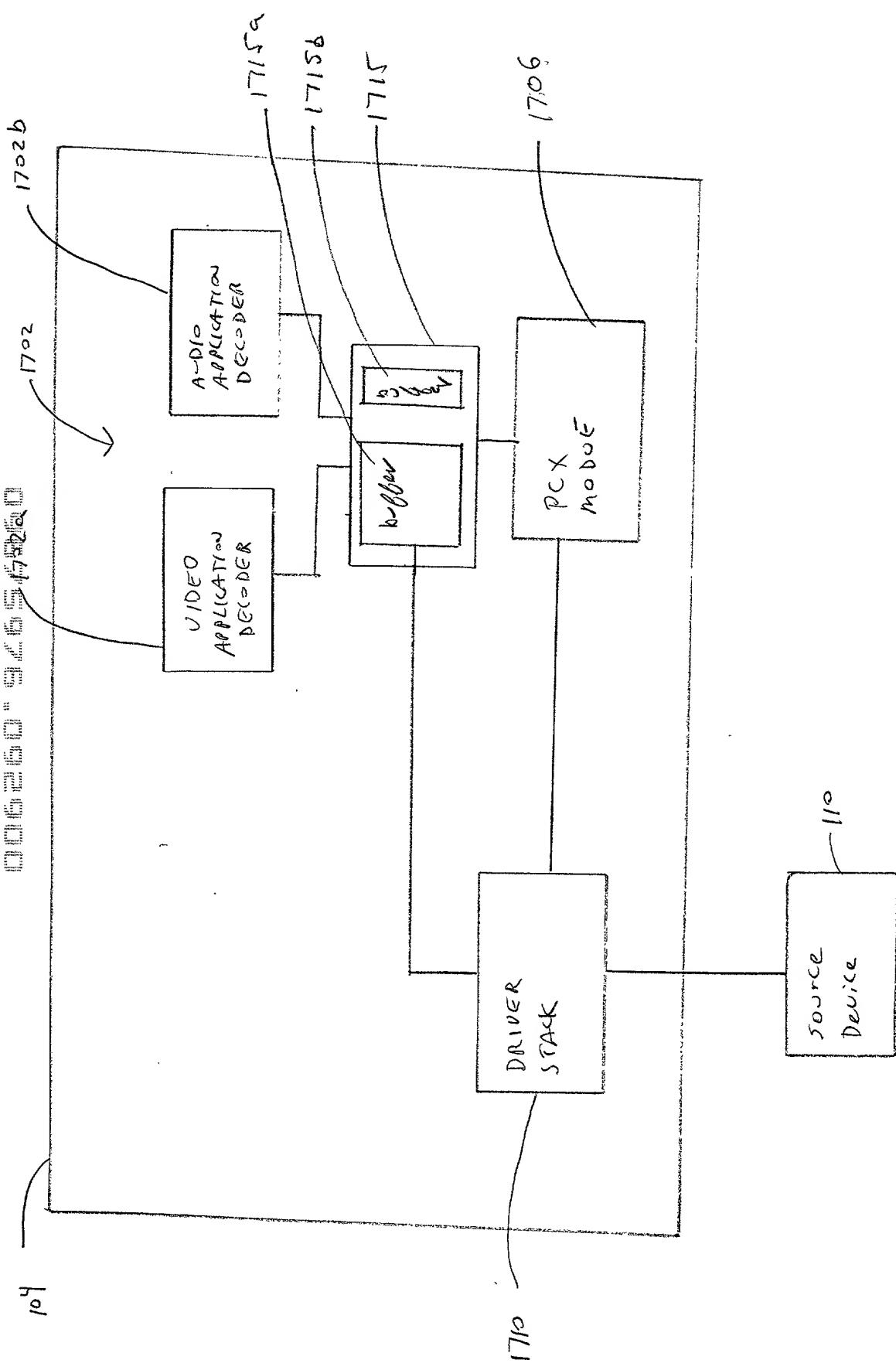


FIG. 17

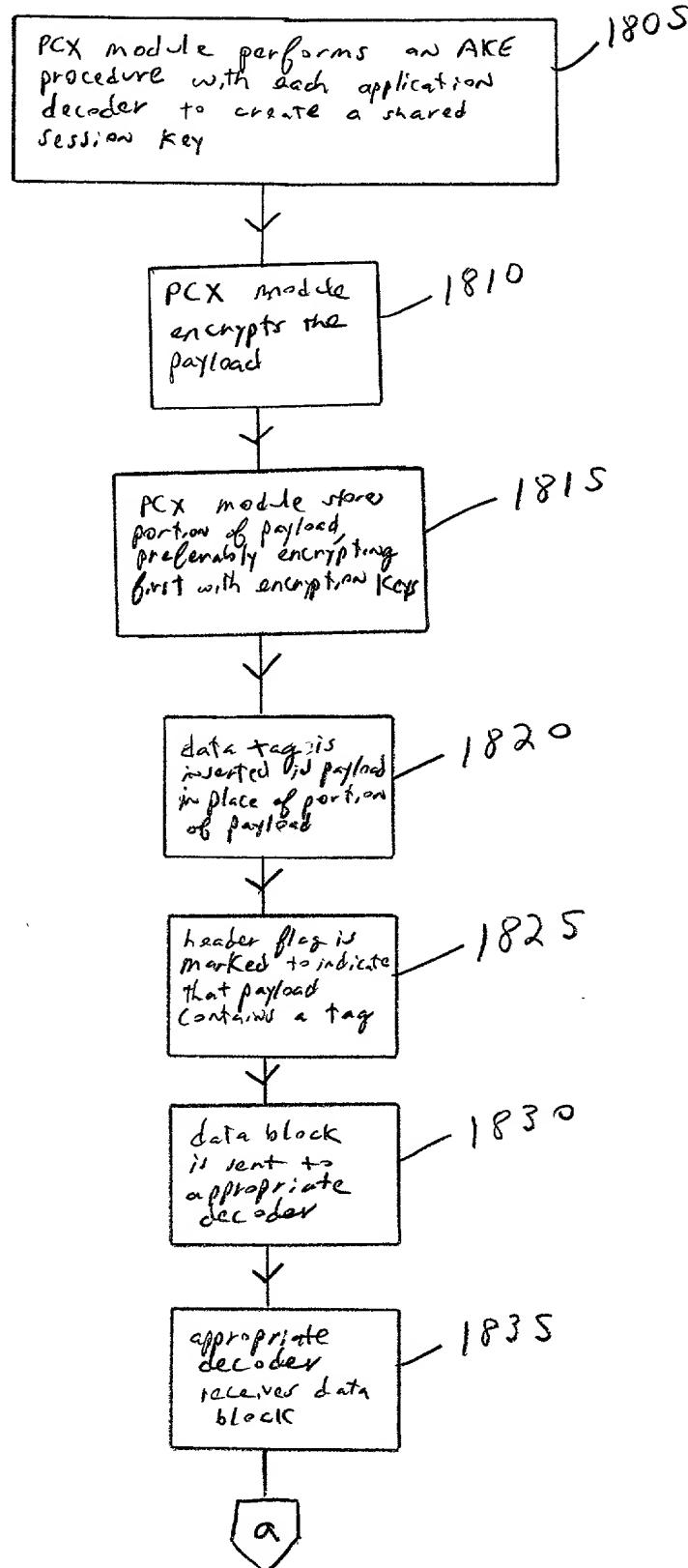


FIG. 18

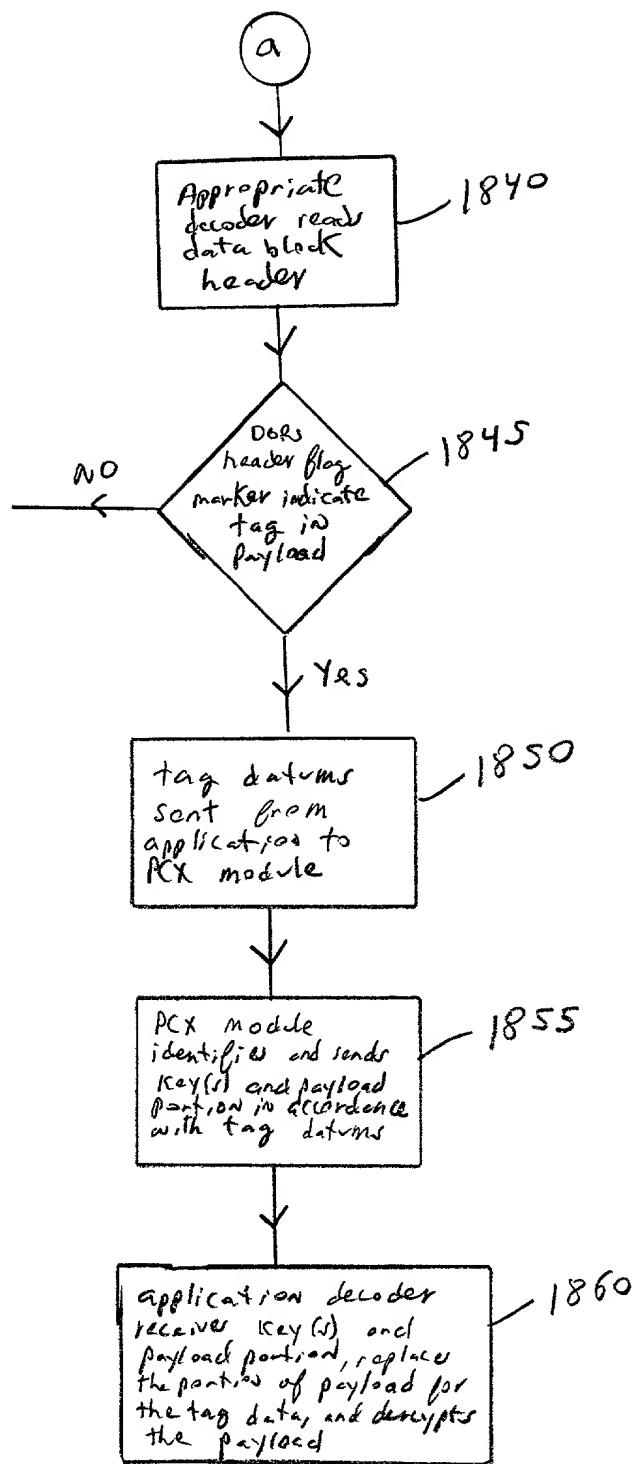


FIG. 18 Continued

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, next to my name.

I believe I am the original, first, and sole inventor (if only one name is listed below) or an original, first, and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYSTEM AND METHOD FOR SAFEGUARDING DATA BETWEEN A DEVICE DRIVER AND A DEVICE

the specification of which

X is attached hereto.  
\_\_\_\_ was filed on \_\_\_\_\_ as  
United States Application Number \_\_\_\_\_  
or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_  
(if applicable)

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment referred to above.

I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

<u>Prior Foreign Application(s)</u>			<u>Priority Claimed</u>	
<u>Number</u>	<u>Country</u>	<u>Day/Month/Year Filed</u>	<u>Yes</u>	<u>No</u>
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below:

<u>Application Number</u>	<u>Filing Date</u>
_____	_____

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Application Number	Filing Date	Status -- patented, pending, abandoned
Application Number	Filing Date	Status -- patented, pending, abandoned

I hereby appoint the persons listed on Appendix A hereto (which is incorporated by reference and a part of this document) as my respective patent attorneys and patent agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Send correspondence to Kenneth B. Paley, **BLAKELY, SOKOLOFF, TAYLOR &**  
(Name of Attorney or Agent)  
ZAFMAN LLP, 12400 Wilshire Boulevard 7th Floor, Los Angeles, California 90025 and direct  
telephone calls to Kenneth B. Paley, (425) 827-8600.  
(Name of Attorney or Agent)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

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(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Fifth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
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Post Office Address \_\_\_\_\_  
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Full Name of Sixth/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
\_\_\_\_\_

Full Name of Seventh/Joint Inventor \_\_\_\_\_

Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_

Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
(City, State) (Country)

Post Office Address \_\_\_\_\_  
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## APPENDIX A

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## APPENDIX B

### Title 37, Code of Federal Regulations, Section 1.56 Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclosure information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability of any existing claim. The duty to disclosure all information known to be material to patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
- (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein is disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and
  - (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.
- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
  - (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.